# A longitudinal study of baseline FEV, and bronchial responsiveness in patients with asthma

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A longitudinal study of baseline FEV, and bronchial responsiveness in patients with asthma. L.K. Josephs, I. Gregg, M.A. Mullee, M.J. Campbell, S.T. Holgate.

ABSTRACT: The effect of initial airway calibre on the response to bronchial provocation is unclear. Theoretically, geometric relationships within the airways might influence the measurement of responsiveness, particularly since a given change in calibre will produce a disproportionately greater reduction in flow in airways which are already narrowed.

We have examined the relationship between serial measurements of prechallenge forced expiratory volume in one second (FEV<sub>1</sub>) and responsiveness to methacholine (PD<sub>10</sub>) in 8 children and 12 adults with asthma. Measurements were made every 2-3 wks for 12-18 months and all patients kept a daily record of symptoms and twice daily measurements of peak expiratory flow (PEF).

Spearman's rank correlation coefficient (rho) for the relationship  $PD_{20}$  versus pre-challenge  $FEV_1$  was derived for each patient and varied widely within the group (range -0.22 to 0.73, mean 0.31); the strength of this correlation was not related to a patient's mean  $FEV_1$  % predicted, but was related to the degree to which  $PD_{20}$  and pre-challenge  $FEV_1$  themselves reflected concurrent asthma severity (mean morning PEF and mean symptom scores for the three days around each test).

This suggests that the observed relationship between pre-challenge FEV, and PD, may be due less to the influence of airway geometric factors, which might be expected to be present in all patients, but rather that pre-challenge FEV, is reflecting the severity of the underlying disease. Larger studies will be needed to test this hypothesis further.

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The influence of pre-challenge airway calibre on measurements of nonspecific bronchial responsiveness is unclear. It has been difficult to study this relationship, since airway calibre can only be measured indirectly by using functional indices of airflow obstruction. Theoretically, geometric relationships within the airways might influence the measurement of responsiveness [1, 2], since resistance of an airway varies inversely with the fourth power of its radius and, thus, a given change in the calibre of already narrowed airways will produce a disproportionately greater reduction in flow. Furthermore, the presence of airflow obstruction prior to inhalation challenge with a constrictor agonist will affect the distribution of the agonist within the bronchial tree and, as a consequence, may also affect the subsequent response. The influence of these factors cannot be quantified, nor can their relative importance be determined.

Other evidence casts some doubt on the importance of baseline airway geometry as a determinant of the response to challenge. Baseline airway calibre is altered by changing posture, respiratory resistance being greater in the supine than in the sitting position [3] and this provides a physiological method for comparing responsiveness at different baseline airway diameters. In a recent report by Wang et al. [4], eight healthy subjects underwent bronchial challenge with histamine in both sitting and supine postures on two days; airway calibre was assessed by measuring total respiratory resistance. Baseline resistance was increased by 50% by adopting the supine posture, but this was not associated with a significant increase in histamine responsiveness.

Many studies have examined the relationship between various indices of airway calibre and bronchial responsiveness in different populations. In healthy nonsmoking subjects, some investigators have demonstrated a relationship between forced expiratory volume in one second (FEV<sub>1</sub>) or FEV<sub>1</sub> as a fraction of forced vital capacity (FEV<sub>1</sub>/FVC) and responsiveness to histamine or methacholine [5, 6], but not to cold air [7]. Others have examined the relationship in selected populations of smokers, asthmatics, or bronchitics in whom the disease itself, or factors such

as cigarette smoking, may influence or obscure the relationship between measured responsiveness and the baseline level of ventilatory function. In patients with chronic obstructive airways disease, cholinergic and histamine responsiveness have been shown to relate to baseline levels of FEV, FEV, FVC, or specific airways conductance [8-13]. In asthmatic patients the situation is much less clear, some authors reporting a modest correlation [14-17] while others have found none, especially in patients whose pulmonary function is only mildly abnormal [18-20], or in those studies which have analysed serial measurements to determine whether a within-subject relationship exists between responsiveness and changes in levels of ventilatory function [18, 21-23]. Moreover, in those studies in asthma that have established a relationship, it is unclear whether the reduction in airway calibre gives rise to hyperresponsiveness [1], or whether the latter itself determines airway calibre. It is possible that both may be separate expressions of the underlying disease, each reflecting its severity.

We have previously reported the findings of a longitudinal study investigating the relationship of responsiveness, measured at 2-3 weekly intervals, to clinical indices of asthma in 20 patients followed for a period of 12-18 months [24]. This present paper represents an extension of our original report and examines the relationship within patients between changes in pre-challenge ventilatory function and levels of responsiveness.

# Methods

## **Patients**

A description of the patients investigated and the methods used has been reported previously [24]. In summary, 12 adults and 8 children (table 1) were selected from those attending an asthma clinic held at the Aldermoor Health Centre, a general practice affiliated to the Department of Primary Medical Care of the University of Southampton. Asthma had been diagnosed in all of them on the basis of a history of episodic or persistent wheeze and shortness of breath, together with an observed variation of at least 20% in serial measurements of peak expiratory flow (PEF). All were taking anti-asthma medication at entry into the study, or had required it within the previous three months. All but one patient (no. 20) gave positive reactions to skin prick testing with one or more of 13 common allergens.

At entry into the study baseline values of forced expiratory volume in one second (FEV<sub>1</sub>) ranged between 52–125% (mean 94%) of their predicted values using the reference values for sex, age and height reported by Cotes [25]. In the two patients whose FEV<sub>1</sub> was <70% predicted, one (no. 7) had a history of chronic asthma which had been severe in childhood and the other (no. 5) had undergone two segmental resections in childhood for bronchiectasis, asthma having developed about 20 yrs later.

Table 1. - Patient details at entry into the study

| Patient     | Sex | Age<br>yrs | Duration<br>asthma<br>yrs | Smoking |                 | FEV,      |
|-------------|-----|------------|---------------------------|---------|-----------------|-----------|
|             |     |            |                           |         | Duration<br>yrs | % pred.   |
| No.         |     |            |                           |         |                 |           |
| 1           | F   | 39         | 31                        | S       | 24              | 2.4 (84)  |
| 2           | M   | 12         | 2                         | NS      |                 | 2.6 (98)  |
| 2<br>3<br>4 | M   | 66         | 9                         | Ex      | 30              | 3.8 (125) |
| 4           | F   | 19         | 15                        | S       | 3               | 3.0 (97)  |
| 5           | M   | 39         | 8                         | Ex      | 5               | 2.1 (52)  |
| 6           | F   | 34         | 26                        | NS      |                 | 2.8 (93)  |
| 7           | M   | 29         | 26                        | Ex      | 2               | 2.4 (56)  |
| 8           | M   | 9          | 8                         | NS      |                 | 2.1 (98)  |
| 9           | F   | 8          | 6                         | NS      |                 | 1.2 (86)  |
| 10          | F   | 30         | 27                        | NS      |                 | 2.9 (94)  |
| 11          | M   | 13         | 4                         | NS      |                 | 2.4 (80)  |
| 12          | F   | 9          | 2                         | NS      |                 | 1.5 (99)  |
| 13          | M   | 11         | 4                         | NS      |                 | 1.9 (96)  |
| 14          | M   | 9          | 6                         | NS      |                 | 2.0 (93)  |
| 15          | M   | 38         | 8                         | NS      |                 | 3.9 (115) |
| 16          | M   | 8          | 2                         | NS      |                 | 1.5 (103) |
| 17          | M   | 27         | 25                        | Ex      | <1              | 3.3 (77)  |
| 18          | F   | 49         | 44                        | NS      |                 | 1.8 (71)  |
| 19          | F   | 19         | 15                        | NS      |                 | 3.1 (92)  |
| 20          | F   | 16         | 14                        | NS      |                 | 2.9 (88)  |

S: current smoker; NS: nonsmoker; Ex: ex-smoker; FEV<sub>1</sub>: forced expiratory volume in one second.

### Measurement of ventilatory function

FEV<sub>1</sub> was measured with a Vitalograph dry bellows spirometer (Vitalograph Ltd, Buckingham, UK). Two or three technically correct forced expiratory manoeuvres were performed in order to obtain two repeatable FEV<sub>1</sub> values (difference within 100 ml), the higher of which was recorded.

#### Assessment of asthma severity

All patients measured their PEF every morning and evening (before taking any treatment) using a mini-Wright meter (Clement Clarke International, London, UK) and recorded the highest of three consecutive values. Diary cards were used to record these measurements of PEF, medication taken and symptoms of asthma. A daily asthma severity score was derived from the responses to eight questions concerning the degree of daytime and nocturnal wheeze, cough, or breathlessness, the amount of early morning chest tightness, the occurrence of symptoms on exposure to certain provoking factors (exercise, changes in temperature, fumes or smoke), and the extent to which symptoms restricted activities.

# Methacholine bronchial provocation

Bronchial provocation was performed according to the method described by Yan et al. [26], with modifications as reported previously [24]. Hand-held

DeVilbiss 40 nebulizers (The DeVilbiss Co., Somerset, USA) were used to deliver a saline control and doubling doses of methacholine from 0.025 µmol to a maximum cumulative dose of 6.4 µmol. Bronchial challenge was performed provided that a subject's baseline FEV₁ was ≥60% predicted, except in the two subjects whose FEV₁ was less than this at entry into the study, in whom baseline FEV₁ was required to be ≥70% of their personal highest FEV₁. The end-point of the test was a fall in FEV₁ of >20% of the highest post-saline control level or administration of the final dose. All challenge tests were performed by a single investigator.

Duplicate measurements of provocation dose producing a 20% fall in FEV<sub>1</sub> (PD<sub>20</sub>) were made in all patients at the start of the study, to establish the short-term repeatability of the test. The two tests were performed on consecutive days whenever possible, and at most seven days (mean 2.5 days) apart. In 17 patients data could be analysed (PD<sub>20</sub>  $\leq$ 12.8  $\mu$ mol on both occasions), and in all but one the difference between the two PD<sub>20</sub> values was within 1.24 doubling doses of methacholine; the only exception was one patient who had experienced an acute exacerbation at the time of the second measurement.

### Study design

The study was performed between September 1985 and March 1987 with an interruption in December 1985/January 1986. Subjects were asked to attend whenever possible every two weeks. When clinically indicated, additional appointments were given at times of exacerbations. To avoid any circadian variation in responsiveness [27, 28], each patient attended for assessment and methacholine challenge at the same time of day on every occasion. Whenever possible, they were asked to avoid taking bronchodilator aerosols within 6 h of attendance and oral theophyllines within 12 h; other medication was continued as usual.

At every attendance, patients were questioned about the severity of their asthma since their previous assessment, and their diary cards were inspected in order to confirm the reported data. Decisions regarding treatment were based on clinical assessment and were made before bronchial challenge was performed.

## Expression and analysis of data

Bronchial challenge. The results of bronchial challenge were expressed in terms of the provocation dose of methacholine which reduced the  $FEV_1$  by 20%  $(PD_{20})$ . A dose-response curve was constructed by plotting the fall in  $FEV_1$ , expressed as a percentage of the highest post-saline value, against the cumulative dose of methacholine on a logarithmic scale. The  $PD_{20}$  was estimated by linear interpolation from the dose-response curve or, when the  $FEV_1$  fell by <20% after the final dose of 6.4  $\mu$ mol, by extrapolation for

values between 6.4 and 12.8  $\mu$ mol [21, 29]. Values of PD<sub>20</sub> beyond this limit were recorded as >12.8  $\mu$ mol. In each patient, the median PD<sub>20</sub>, the absolute range and the interquartile range (encompassing 25% of values above and 25% below the median) summarized his/her overall responsiveness during the study period.

Pre-challenge FEV<sub>1</sub>. The highest baseline value of FEV<sub>1</sub> recorded prior to administration of saline was designated as the pre-challenge FEV<sub>1</sub>. In every subject, the mean of all the estimates of pre-challenge FEV<sub>1</sub> was used to indicate average levels of FEV<sub>1</sub> during the course of the study, while its coefficient of variation summarized the degree to which pre-challenge FEV<sub>1</sub> varied between challenge tests.

Correction for growth (children). The observed values of pre-challenge FEV, in the children were corrected for increase in height during the study period [24]. This was done in an attempt to separate changes in FEV, due to airflow obstruction from those due to growth, since only the former might be expected to relate to any measurement of responsiveness. From measurements of height made at the start and end of the study, predicted values of FEV, were derived from reference values [25]. On the assumption that growth rates were linear during the course of the study, these reference values were used to estimate the expected increases in FEV, during this period. Observed values of FEV, were reduced by an amount attributable to growth based on these expected increases. Observed PEF measurements in the children were "corrected" in a comparable manner, using predicted values reported by Godfrey et al. [30].

Relationship between PD<sub>20</sub> and pre-challenge FEV<sub>1</sub>. Within patients, the relationship between PD<sub>20</sub> and absolute values of pre-challenge FEV<sub>1</sub> was examined by the non-parametric Spearman's rank correlation, expressing the strength of the relationship in terms of the magnitude of Spearman's rank correlation coefficient, rho. This method of analysis was also used to assess the influence of two factors on the strength of any such correlation: 1) a patient's mean FEV<sub>1</sub> expressed as a percentage of predicted; and 2) the degree to which a patient's pre-challenge FEV<sub>1</sub> varied during the study, as reflected by its coefficient of variation.

Relationship between both PD<sub>20</sub> and pre-challenge FEV<sub>1</sub> and asthma severity. The methods used to examine within-subject relationships between levels of methacholine responsiveness and concurrent asthma severity have been described in detail elsewhere [24]. Spearman's rank correlation was used to examine the relationship within patients between PD<sub>20</sub> and the mean morning PEF for the three days around each bronchial challenge (the test day, the day before, and the day after) and to the mean asthma symptom

scores for these three days. Similar methods were used to assess the relationship within patients between prechallenge FEV<sub>1</sub> and these same indices of severity. The rho value was used as a summary measure of the strength of each of these relationships in an individual and scatter plots were employed to show the association between these summary measures.

#### Results

The data for each of the 20 patients are shown in figure 1, listing patients in order of decreasing median PD<sub>20</sub>. All were followed for between 12–18 months, except for one patient (no. 18) who was withdrawn after 9 months because of acute coronary insufficiency. Mean pre-challenge FEV<sub>1</sub> ranged from 55–122% predicted (mean 94%, sp 17.5) and the coefficient of variation of pre-challenge FEV<sub>1</sub> from 4.5–18.7% (mean 10.2%, sp 4.3).

| Pt<br>no. | Range PD <sub>20</sub> methacholine μmol >12.8 6.4 1.8 0.4 0.1 0.025 | No.<br>tests | Mean<br>pre-challenge<br>FEV <sub>1</sub> %pred. |      |
|-----------|----------------------------------------------------------------------|--------------|--------------------------------------------------|------|
| 1         | ₩—                                                                   | 19           | 91                                               | 12.3 |
| 2         | H <u>x</u>                                                           | 21           | 110                                              | 4.7  |
| 3         | HE)————————————————————————————————————                              | 25           | 122                                              | 7.3  |
| 4         | <b>⊢</b> □                                                           | 32           | 102                                              | 4.5  |
| 5         | <b>⊢</b> ( <u>×</u> ) <b>⊢</b>                                       | 28           | 55                                               | 4.9  |
| 6         | H_X                                                                  | 31           | 100                                              | 16.9 |
| 7         | HEH                                                                  | 21           | 63                                               | 10.8 |
| 8         | <b>├</b>                                                             | 26           | 104                                              | 11.4 |
| 9         | <b>├</b>                                                             | 25           | 99                                               | 8.9  |
| 10        | $\vdash \square \vdash$                                              | 25           | 99                                               | 6.6  |
| 11        | <b>⊢</b> — <b>□</b> ≥                                                | 33           | 84                                               | 10.2 |
| 12        | H                                                                    | 32           | 111                                              | 7.0  |
| 13        | $\vdash$                                                             | 31           | 94                                               | 9.9  |
| 14        | ⊢∞⊣                                                                  | 32           | 86                                               | 14.8 |
| 15        | H                                                                    | 24           | 108                                              | 7.6  |
| 16        | <b>├</b> ─ <b>⊠</b> ⊣                                                | 31           | 111                                              | 7.5  |
| 17        | HIDH                                                                 |              | 80                                               | 18.4 |
| 18        | H                                                                    | 14           | 72                                               | 12.2 |
| 18        | HH                                                                   | 12           | 108                                              | 9.2  |
| 20        | HCXH                                                                 | 18           | 79                                               | 18.7 |

Fig. 1. — A summary of measurements of PD<sub>20</sub> and pre-challenge FEV<sub>1</sub> (patients are listed in order of decreasing median PD<sub>20</sub>). PD<sub>20</sub>; the dose of methacholine required to reduce the FEV<sub>1</sub> by 20%: x: median PD<sub>20</sub>; — : range of PD<sub>20</sub>; — : range encompassing 25% of PD<sub>20</sub> values lying above and 25% lying below the median. "No. tests": the number of completed tests available for analysis (excluding tests in which beta-agonists had been taken within 6 h). FEV<sub>1</sub>: forced expiratory volume in one second.

Five hundred and five bronchial challenge tests were performed (mean 25 per patient, range 15-34). In nine of these, patients had taken an inhaled beta-agonist bronchodilator within the previous 6 h (one test in patient 18, two in patient 12 and three each in patients 19 and 20); these tests were excluded from analysis, since it was impossible to determine what influence the drug had had on either pre-challenge FEV<sub>1</sub> or PD<sub>20</sub>. On eight other occasions challenge tests could not proceed, in seven cases because the pre-challenge measurements of FEV<sub>1</sub> were too low, and in one because of an excessive fall in FEV<sub>1</sub> after inhalation of the saline control.

The strength of the relationship within subjects between  $PD_{20}$  and pre-challenge  $FEV_1$  is shown in terms of the magnitude of Spearman's rank correlation coefficient, rho, (fig. 2). Three patients (nos 1, 2 and 3) were excluded from this analysis, since their  $PD_{20}$  was indeterminable (>12.8  $\mu$ mol) on >75% of occasions (fig. 1), making it impossible to examine the relationship between  $PD_{20}$  and  $FEV_1$ .

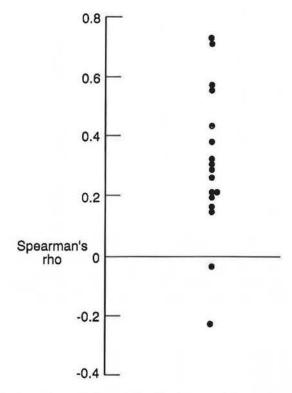
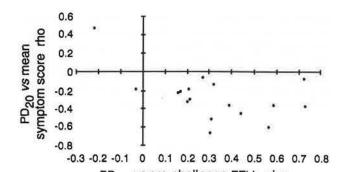


Fig. 2. — Strength of the relationship, in terms of the magnitude of Spearman's rank correlation coefficient, rho, between PD<sub>20</sub> and prechallenge FEV,. For definitions see legend to figure 1.

In the 17 patients represented in figure 2, there was a general relationship between  $PD_{20}$  and pre-challenge  $FEV_1$ , as shown by the predominantly positive nature of the correlation, however, in only a minority of patients did the high values of Spearman's rho indicate that the relationship was strong. The strength of the correlation was not significantly related to mean levels of pre-challenge  $FEV_1\%$  predicted ( $\rho$ =-0.14,  $\rho$ =0.61) or to the degree to which a patient's

pre-challenge  $FEV_1$  varied during the course of the study, as assessed by its coefficient of variation ( $\rho$ =0.11,  $\rho$ =0.67). Patient no. 5 was excluded from the first of these analyses since his  $FEV_1$ , when compared with predicted values, was not a valid index of airflow obstruction, but rather reflected his previous lung resections.

Table 2 shows the magnitude of Spearman's rho for the relationship between PD<sub>20</sub> and pre-challenge FEV<sub>1</sub> in the 17 patients in whom it could be calculated (data represented in fig. 2) and for the relationships between both PD<sub>20</sub> and pre-challenge FEV<sub>1</sub> and two indices of asthma severity around the time of each bronchial challenge: mean morning PEF and mean symptom score for the three days around each test.



PD<sub>20</sub> vs pre-challenge FEV<sub>1</sub> rho
Fig. 4. – The relationship between Spearman's rho for PD<sub>20</sub> versus
pre-challenge FEV<sub>1</sub> and rho for PD<sub>20</sub> versus mean symptom score
for the three days around each bronchial challenge (as in fig. 3). For
definitions see legend to figure 1.

Table 2. - Strength of the relationship between PD<sub>20</sub> and pre-challenge FEV<sub>1</sub> and of the relationships between both PD<sub>20</sub> and FEV, and two indices of concurrent asthma severity

|                            |                           | I                                                                 | II                                                         | III                                                                | IV Spearman's rho FEV <sub>1</sub> vs mean morning PEF* | V Spearman's rho FEV <sub>1</sub> vs mean symptom score <sup>+</sup> |
|----------------------------|---------------------------|-------------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------------------|---------------------------------------------------------|----------------------------------------------------------------------|
| Pt.<br>no.                 | No.<br>tests <sup>†</sup> | Spearman's rho PD <sub>20</sub> vs pre-challenge FEV <sub>1</sub> | Spearman's rho<br>PD <sub>20</sub> vs mean<br>morning PEF* | Spearman's rho PD <sub>20</sub> vs mean Symptom score <sup>+</sup> |                                                         |                                                                      |
| 4                          | 32                        | 0.27                                                              | 0.26                                                       | 0.06                                                               | 0.15                                                    | -0.21                                                                |
| 5                          | 28                        | 0.20                                                              | 0.21                                                       | -0.32                                                              | 0.56*                                                   | -0.52*                                                               |
| 6                          | 31                        | 0.56*                                                             | 0.67*                                                      | -0.61*                                                             | 0.75*                                                   | -0.63*                                                               |
| 4<br>5<br>6<br>7<br>8<br>9 | 21                        | 0.31                                                              | 0.35                                                       | -0.52*                                                             | 0.21                                                    | -0.35                                                                |
| 8                          | 26                        | -0.03                                                             | 0.29                                                       | -0.19                                                              | 0.29                                                    | -0.14                                                                |
| 9                          | 25                        | -0.22                                                             | 0.10                                                       | 0.47*                                                              | 0.15                                                    | -0.08                                                                |
| 10                         | 25                        | 0.39                                                              | 0.52*                                                      | -0.37                                                              | 0.43*                                                   | -0.68*                                                               |
| 11                         | 33                        | 0.21                                                              | -0.11                                                      | -0.19                                                              | 0.29                                                    | -0.48*                                                               |
| 12                         | 32                        | 0.44*                                                             | 0.31                                                       | -0.45*                                                             | 0.26                                                    | -0.12                                                                |
| 13                         | 31                        | 0.73*                                                             | 0.66*                                                      | -0.39                                                              | 0.63*                                                   | -0.43*                                                               |
| 14                         | 32                        | 0.17                                                              | 0.43*                                                      | -0.22                                                              | 0.07                                                    | -0.43*                                                               |
| 15                         | 24                        | 0.30                                                              | 0.61*                                                      | -0.67*                                                             | 0.60*                                                   | -0.61*                                                               |
| 16                         | 31                        | 0.16                                                              | 0.28                                                       | -0.23                                                              | 0.29                                                    | 0.07                                                                 |
| 17                         | 16                        | 0.21                                                              | 0.26                                                       | -0.31                                                              | 0.43                                                    | -0.49                                                                |
| 18                         | 14                        | 0.72*                                                             | 0.68*                                                      | -0.08                                                              | 0.39                                                    | -0.26                                                                |
| 19                         | 12                        | 0.58*                                                             | 0.28                                                       | -0.37                                                              | 0.39                                                    | -0.48                                                                |
| 20                         | 18                        | 0.32                                                              | 0.04                                                       | -0.14                                                              | 0.27                                                    | -0.37                                                                |

†: number of completed tests available for analysis (excluding tests in which beta-agonists had been taken within 6 h); #: mean morning PEF = mean morning PEF for the 3 days around each bronchial challenge *i.e.* the test day, the day before and the day after \*: mean symptom score = mean symptom score for the 3 days around each bronchial challenge (as above); \*: relationships reaching statistical significance (p<0.05);  $FEV_1$ : forced expiratory volume in one second;  $PD_{20}$ : provocative dose producing a 20% fall in  $FEV_1$ ; PEF: peak expiratory flow.

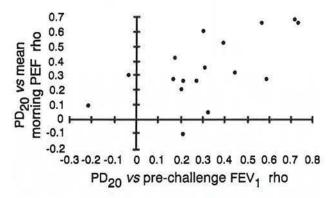


Fig. 3. — The relationship between Spearman's rho for PD<sub>20</sub> versus pre-challenge FEV<sub>1</sub> and rho for PD<sub>20</sub> versus mean morning PEF for the three days around each bronchial challenge (the day of the test, the day before and the day after). For definitions see legend to figure 1.

The strength of the correlation between PD<sub>20</sub> and prechallenge FEV<sub>1</sub> (table 2, column I) was related to the strength of the correlation between PD<sub>20</sub> and mean morning PEF (table 2, column II), as shown in figure 3, ( $\rho$ = 0.58,  $\rho$ =0.015), and to the strength of the correlation between PD<sub>20</sub> and mean symptom score (table 2, column III), as shown in figure 4, ( $\rho$ =-0.45,  $\rho$ =0.068). Similar, though more modest, associations were found for the corresponding relationships between FEV<sub>1</sub> and asthma severity, in that the strength of the correlation between PD<sub>20</sub> and pre-challenge FEV<sub>1</sub> (table 2, column I) was related to the strength of the correlation between FEV<sub>1</sub> and mean morning PEF (table 2, column IV) ( $\rho$ =0.45,  $\rho$ =0.068) and to the strength of the correlation between pre-challenge FEV<sub>1</sub> and mean symptom score (table 2, column V) ( $\rho$ =-0.33,  $\rho$ =0.20). In summary, the strength of the

correlation between  $PD_{20}$  and pre-challenge  $FEV_1$  was related to the degree to which  $PD_{20}$  and, to a lesser extent, pre-challenge  $FEV_1$  themselves reflected concurrent asthma severity.

### Discussion

When examining the findings of studies which have attempted to elucidate the relationship between bronchial responsiveness and baseline airway calibre, account must be taken of differences between them in their methods of bronchial challenge, the criteria used to assess airway calibre and responsiveness, and the populations studied.

As in the present study responsiveness is most commonly described in terms of the position of the dose-response curve, that is the provoking concentration (PC) or dose (PD) of agonist causing a certain degree of bronchoconstriction, e.g. a 20% fall in FEV<sub>1</sub>. However, other indices such as the slope of the curve and the level of the plateau may give further useful information [31]. The observed relationship might also be affected by methodological differences, such as the way in which a slope is constructed from the data points, whether the stimulus is plotted on a linear or logarithmic scale, and whether the response is measured as absolute or percentage change in pulmonary function [2].

In randomly selected populations, which comprise a high proportion of normal subjects, levels of responsiveness cannot be quantified in the majority, since they lie beyond the dose-range of the provoking agent. Nevertheless, several studies have been performed in which a variety of indices have been used to assess responsiveness. Rucken et al. [32] studied over 2,000 randomly selected subjects, of whom 25% had measurable levels of responsiveness as assessed by PC10 histamine. A relationship was observed between PC10 and baseline FEV, which was present after adjusting for respiratory symptoms and smoking; exclusion of subjects whose FEV, was <80% predicted diminished the strength of the association, though it remained significant. Other population-based studies have yielded conflicting results. Investigating healthy asymptomatic subjects, Malo et al. [5] found that PC, methacholine was related to pre-challenge FEV, but not to vital capacity (VC), while DEVRIES et al. [6] observed a relationship between PC<sub>10</sub> histamine and the FEV<sub>1</sub>/VC ratio. However, in a random sample of adults, Welty et al. [7] failed to find a significant relationship between responsiveness to cold air and either FEV, or the FEV,/VC ratio.

In subjects with asthma the evidence is also conflicting. A modest relationship has been shown between pre-challenge FEV<sub>1</sub> and PC<sub>20</sub> histamine [14, 16, 17], PC<sub>20</sub> methacholine [33] and the threshold dose of methacholine and histamine [15]. However, other studies have failed to confirm an association between baseline ventilatory function and levels of responsiveness. Dumas et al. [19] found no correlation between

pre-challenge FEV<sub>1</sub> or VC and PC<sub>20</sub> methacholine in stable mild asthmatics with normal baseline lung function. SLY [20] found no correlation between pre-challenge PEF and exercise-induced falls in PEF in children with asthma. CADE and PAIN [22] found no relationship between pre-challenge FEV, and the percentage fall in FEV, following methacholine inhalation in a group of asthmatics, some of whom had multiple challenge tests. Similarly, RUBINFELD and PAIN [23] found no relationship between initial specific airways conductance (sGaw) and methacholine responsiveness either within the group or in those subjects in whom multiple tests were performed. Furthermore, Fish et al. [18] found that the variability in response to multiple bronchial challenges was unrelated to the level of pre-challenge ventilatory function (sGaw or FEV,), though the latter showed considerable variability within-subjects.

Ryan et al. [34] have shown that moderate or severe hyperresponsiveness (PC<sub>20</sub> <2.0 mg·ml<sup>-1</sup> histamine) can exist when FEV<sub>1</sub> is "normal", i.e. within 10% of a subject's maximum value after an inhaled beta-agonist. Other workers have observed changes in baseline ventilatory function in the absence of changes in responsiveness [23, 24, 35], or changes in responsiveness occurring without changes in ventilatory function [24, 28, 36–39], suggesting that other factors contribute to the hyperresponsiveness.

Our own study was designed to examine longitudinally the relationship within patients between levels of responsiveness and clinical indices of asthma. We have previously reported that only a minority of patients showed a clear relationship between trends in PD20 methacholine and trends in asthma severity [24]. This present paper extends these findings by examining the interrelationship of these variables with pre-challenge ventilatory function. In summary, our findings suggest that, within the group, there was a general relationship between pre-challenge FEV, and PD<sub>20</sub>, but that in only a minority of patients was the correlation strong; furthermore, we have shown that the strength of this correlation was related to the degree to which PD20 and pre-challenge FEV, themselves reflected the severity of asthma around the time of the challenge, with the strongest correlation in those patients in whom these indices were most consistently related to concurrent asthma severity. Certainly the weakness of the relationship between FEV, and PD20 in many of our patients may reflect the imperfections inherent in the measurement itself but, if airway geometric factors per se were important in determining responsiveness, one would expect to find a relationship the strength of which varied throughout the group, possibly being strongest in those with the most impaired lung function. Our findings would militate against this being the case, but rather might suggest that pre-challenge FEV, is reflecting asthma severity and the pathological processes underlying it and is only a major contributing factor in those patients in whom a clear relationship exists between severity and responsiveness: since there is no direct way of

determining underlying severity, it would be difficult to test this hypothesis further.

It has been suggested that failure to find a relationship within subjects between pre-challenge airway calibre and levels of responsiveness might be due to the occurrence of only small changes in pulmonary function in individual subjects [2]. That a more universal relationship was not revealed in the present study was unlikely to have been due to insufficient within-subject variability in pre-challenge FEV<sub>1</sub>; moreover, the strength of the relationship was not related to the extent to which a patient's pre-challenge FEV<sub>1</sub> varied during the study. It is unlikely that unreliability in our methods of assessing responsiveness could account for the absence of a closer relationship between baseline FEV<sub>1</sub> and PD<sub>20</sub>, since we have shown PD<sub>20</sub> to be highly repeatable [24].

It has also been suggested that the relationship between baseline ventilatory function and responsiveness is strongest in asthmatic subjects whose FEV<sub>1</sub> is <70% predicted [14]. In the present study the strength of the correlation was unrelated to mean pre-challenge FEV<sub>1</sub>% predicted, though there were few patients whose ventilatory function was severely impaired other

than at times of acute episodes.

It is important to consider the intrinsic limitations of this study before coming to generalized conclusions. Pre-challenge FEV, and PD20 are not truly independent variables, due to the methods used to derive PD20, and certainly independent techniques of assessing baseline airway calibre and responsiveness would be preferable when studying their relationship. However, in this study, it is the lack of a more general relationship in our patients which has been of particular interest. Our results relate to challenge with methacholine and it is uncertain whether similar results would have been found using other bronchial provocants, particularly those which appear to rely on different underlying mechanisms for their action [40]. No single index of responsiveness can adequately summarize the dose-response relationship; our choice of PD<sub>20</sub> was based on the fact that it is more repeatable than the threshold dose or concentration [41, 42], it has been very widely used and it has been shown to reflect clinical indices of asthma [16], while the slope of the curve has failed to do so [16, 23]; however, it is uncertain whether our conclusions would have been the same had other indices of responsiveness been ex-

It is possible that the mechanisms underlying hyperresponsiveness are not the same in all clinical groups. In subjects with chronic bronchitis, the relationship between baseline airway calibre and responsiveness is much stronger. Ramsdale et al. [33] compared the relationship in a group of 27 subjects with asthma matched for baseline FEV, with a similar number of subjects with chronic bronchitis. They found a significantly stronger association in the bronchitic group, in whom initial airflow obstruction could account for about 75% of the response to methacholine, but for only 35% in the asthmatic

group. They concluded that in asthmatic subjects a mechanism other than airflow obstruction appeared to be the main determinant of the response to methacholine.

The limitations of ventilatory function tests in reflecting either baseline airway calibre or levels of responsiveness make it difficult to unravel the nature of any relationship between them. While our findings suggest that a relationship exists, in many of our patients factors other than baseline airway calibre would seem to be of greater importance in determining levels of responsiveness. Further studies involving larger numbers of patients (including those with greater impairment in baseline ventilatory function) and using other bronchial provocants are needed to test this hypothesis further.

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