

Continuous laryngoscopy quantitates laryngeal behaviour in exercise and recovery



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ABSTRACT Exercise-induced laryngeal obstruction (E-ILO) causes exertional dyspnoea. There is no standardised methodology which characterises laryngeal obstruction in relation to exercise or links laryngeal obstruction and dyspnoea severity. Continuous laryngoscopy during exercise (CLE) may improve diagnostic sensitivity by enabling laryngeal visualisation at peak work capacity in patients with rapidly resolving obstruction. The time course of laryngeal obstruction across exercise and recovery has not been quantitated until this report.

Adolescents and young adults referred for CLE were laryngoscopically monitored across rest, maximal cycle ergometry exercise, and recovery. Three reviewers, blinded to time sequencing, rated inspiratory glottic and supraglottic obstruction during 10 windows of 15-s corresponding to rest, 25%, 50%, 75%, 90% and 100% of individual symptom-limited peak work capacity (expressed in Watts), and four consecutive recovery windows.

85 patients were screened and 71 included. Over 96% of time windows were interpretable. Laryngeal obstruction severity reached observed maximal levels at peak work capacity, and rapidly resolved. A spectrum of observed maximal obstruction was measured.

CLE provides interpretable data demonstrating laryngeal obstruction in patients with suspected E-ILO that is more severe at peak work capacity than during rest, submaximal exercise, or recovery. Observed maximal obstruction was infrequently severe and rapidly resolved.



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Introduction

Exercise-induced laryngeal obstruction (E-ILO) is the preferred consensus-opinion term describing the condition in which glottic and/or supraglottic structures inappropriately obstruct the larynx during exercise, "causing breathing problems." [1] First described in the 1980s, E-ILO is important because it may affect a large number of adolescents and young adults and can mimic exercise-induced asthma (leading to inappropriate treatment) [2–8]. The diagnosis of E-ILO is confirmed *via* visualisation of laryngeal obstruction in relation to exercise. Diagnosis also depends on the temporal linking of any observed laryngeal obstruction with exertional dyspnoea [1].

Though laryngoscopy is considered the gold standard for diagnosis of inducible laryngeal obstruction (ILO), historically there has been variability in diagnostic methods used for evaluating laryngeal obstruction with exercise [1]. This is an important problem because studies designed to identify E-ILO phenotypes, mechanisms and treatments depend on diagnostic techniques that can quantitatively or semi-quantitatively establish the presence or absence of abnormal laryngeal obstruction. Diagnostic methods used in practice and described in the literature include documentation of a characteristic history, noninvasive techniques, resting laryngoscopy, post-exercise laryngoscopy, pre- and post-exercise laryngoscopy, and continuous laryngoscopy during exercise (CLE) [5, 9–12].

The CLE procedure has been advocated as the diagnostic technique of choice by authors at some centres [13]. The procedure features a flexible laryngoscope that is mounted in place throughout an exercise challenge [5]. It has been performed in a variety of exercise modes, including treadmill and cycle ergometry [5, 14, 15]. The procedure presents logistic challenges in terms of creating a representative exercise challenge and tolerable patient experience while simultaneously acquiring high-quality continuous laryngoscopic data. However, the hypothesised benefit of CLE in documenting laryngeal obstruction is a decrease in false negative examinations as it allows for laryngeal visualisation during strenuous exercise in patients whose obstruction resolves over brief time periods that preclude documentation through post-exercise visualisation. Additionally, the procedure is hypothesised to minimise false positive examinations that could be caused by the introduction of a laryngoscope during periods of appropriate dyspnoea immediately after strenuous exercise [14].

While the timing of the onset and resolution of laryngeal obstruction are thought to be important, there is a current gap in the literature regarding the dependence of upper airway obstruction in affected patients on work rate and recovery time [1]. An understanding of these relationships will shed light on the mechanisms underlying the condition and improve understanding of the sensitivity of several diagnostic procedures used to confirm the clinical diagnosis of E-ILO.

The purpose of this study was to elucidate the relationship between upper airway obstruction at a glottic and/or supraglottic level and both work rate and recovery time. We asked the specific question: is laryngeal obstruction more likely to be observed while subjects exercised at symptom-limited individually-achieved peak work capacity (which for the purpose of this investigation is herein defined as peak work rate, expressed in Watts) than during time windows that can be observed with traditional laryngoscopic methods (including resting laryngoscopy, laryngoscopy after submaximal exercise and laryngoscopy 1 min after peak exercise)?

We hypothesised that observed upper airway obstruction would reach maximally observed levels at symptom-limited individually-achieved peak work capacity and would rapidly resolve during recovery (in less than 60 s).

The main study objective was to characterise this relationship by describing inspiratory glottic and supraglottic obstruction across rest, incremental exercise to symptom-limited individually-achieved peak work capacity, and recovery in a retrospective group of consecutive adolescent and young adult patients who underwent CLE to evaluate exertional dyspnoea.

Materials and Methods

Subjects

The National Jewish Health Institutional Review Board approved this retrospective study. We included 85 sequential patients, aged 12 through 21, who underwent CLE for any indication between March, 2013 and February, 2014. We then excluded patients who were referred for CLE for indications other than clinical suspicion for E-ILO and patients with test datasets that could not be analysed due to technical failure of specific devices.

Continuous laryngoscopy during exercise apparatus

The details of our CLE apparatus have been described (see the online supplementary material for hardware) [16]. In summary, a helmet-mounted flexible fiberoptic laryngoscope was fixed near the nostril to minimise distance changes between the laryngoscope and larynx.

Exercise protocol and data acquisition

After 3 min of rest and 3 min of unloaded cycling, patients performed ramp protocols (continuously increasing work without discrete stages) designed to reach peak work capacity within 8–12 min followed by recovery [17]. The tests were symptom-limited and terminated when patients could no longer continue to cycle despite vigorous verbal encouragement. Heart rate, ventilation and metabolic data (oxygen uptake and carbon dioxide output) were recorded at 200 Hz.

Video acquisition and sampling

The laryngoscopy video, time-synchronised with metabolic data collection (*via* audio cues), was recorded across rest, exercise and recovery. 10 specific 15-s time windows were extracted at rest, 25%, 50%, 75%, 90% and 100% of achieved peak work capacity as well as at periods centred at 15, 30, 45 and 60 s into recovery. We did not correct for image distortion [18].

Video scoring and data compilation

Three blinded reviewers (a physician's assistant in paediatric pulmonology, an otolaryngologist and a speech and language pathologist all familiar with the procedure) independently scored the 10 windows of each test for video quality, inspiratory glottic obstruction and inspiratory supraglottic obstruction. Expiratory findings were not scored. Reviewers scored obstruction across an entire window based on a four-point visual scoring scale published by Roksund et al. [19] which characterises glottic and supraglottic behaviour as normal (0), mild (1), moderate (2) and severe (3). Reviewers scored all windows for individual subjects in a single session because differences in laryngoscope placement can affect measurements [18]. Within each subject, reviewers first scored the video acquired at 25% peak work capacity to establish a reference because, based on pilot data, this is a window in which laryngeal obstruction is rare [20]. Reviewers scored the remaining nine videos blinded to time sequencing *via* randomisation and muting of the audio track.

We compiled data as follows. We excluded data from windows in which two or more reviewers noted that visual obstruction or vocalisations prevented analysis. If two or more reviewers scored a video as interpretable, we averaged scores to quantitate obstruction as a numeric value. When qualitatively describing an individual's peak laryngeal obstruction on an ordinal scale in descriptive portions of the report, we rounded average scores to the nearest integer (rounding down in scenarios when the average of only two interpretable reviews was midway between two integer values in order to avoid overstating obstruction).

Statistical analysis

Descriptive statistics were computed by reviewer and time window, including means, standard errors and percentage of agreement (for pairs of reviewers) or percentage of agreement within one unit (for all three reviewers). Kendall's W statistic was also used to assess agreement between reviewers. Differences between windows were compared by fitting a longitudinal model for ordinal score, using Poisson regression, log link, employing generalised estimating equations with a first-order autoregressive working correlation structure for window; window, reviewer and window-by-reviewer interaction were included in the model as fixed-effect terms. As Poisson regression does not impose an upper bound to the outcome (and our outcome has an upper bound), other models were also fitted to verify results (see the online supplementary material).

Results

Patients

A total of 85 patients were included in the screening. Six (7%) were excluded due to the technical inability to time synchronise the exercise and laryngoscopic data. Eight (9%) were excluded as the reason for referral was not exertional dyspnoea (refractory asthma, refractory ILO and an atypical presentation for anaphylaxis). Demographic data are summarised in table 1. This was a young population by definition with a female predominance. The majority of patients were Caucasian. The study population had a mean body mass index and mean peak oxygen consumption similar to the general population [21, 22]. There was notable variability in peak oxygen consumption that appeared to be due to an upward skew in its distribution. Nine subjects had peak oxygen consumption above 50 mL·kg⁻¹·min⁻¹. Peak work capacity ranged from 96 W to 352 W across our population.

Scoring methodology

The majority of video windows were considered interpretable by at least two reviewers (table 2). During exercise and recovery, 93% or more of the videos in all specific windows were interpretable. At peak work capacity, reviewers were able to interpret glottic and supraglottic behaviour in 97% and 99% of the videos respectively. At rest, reviewers were able to interpret glottic behaviour in 90% of videos. Secretions and vocalisations were the artefacts that limited interpretability (and were more common at rest).

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TABLE 1 Patient demographics and clinical features	
Age years Female sex Race/ethnicity	15.0±2 47 (66)
Caucasian African–American Hispanic Asian	64 [90] 3 [4] 2 [3] 2 [3]
Body mass index kg·m ⁻² Peak work Watts Peak № mL·kg ⁻¹ ·min ⁻¹	21.7±3.6 197±60 39.3±9.8

Data are presented as mean±sp or n (%).Peak Vo₂: peak oxygen consumption.

Overall agreement across reviewers was strong. 97% and 92% of individual windows were characterised by reviewer agreement (scored within severity 1 unit across all reviewers) for glottic and supraglottic obstruction, respectively. While general trends regarding the rating of glottic and supraglottic obstruction were consistent across reviewers, there was somewhat more variability at high work rates (figure 1). At 25–50% of peak work capacity, reviewer agreement was 98% for both glottic and supraglottic obstruction respectively. Agreement nadirs based on time window were 90% for glottic scoring (at peak work capacity) and 87% for supraglottic scoring (at 30 s into recovery). Kendall's W ranged from 0.40 and 0.86 for glottic assessments (p<0.01 for all except for the second time window: p=0.08) and from 0.47 to 0.78 for supraglottic assessments across time windows (p<0.01 for all), indicating moderate-to-strong agreement between reviewers (0 indicates no trend for agreement).

Obstruction severity

A spectrum of observed maximal obstruction severity was measured at both a glottic and supraglottic level (table 3). Inspiratory glottic obstruction was characterised as moderate in 26 (37%) and severe in six (8%) subjects during at least one window of the testing period. Inspiratory supraglottic obstruction was characterised as moderate or severe in 53 (75%) subjects. 33 (46%) subjects were characterised as having mild inspiratory glottic obstruction (at the individual's peak severity), with two of these subjects demonstrating resting findings only. The combination of inspiratory glottic and supraglottic obstruction was seen in the majority of subjects (table 4). No subjects demonstrated severe obstruction at one level without some degree of obstruction at the other level.

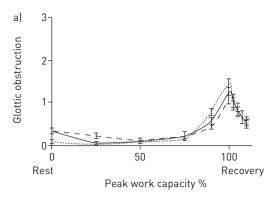
Obstruction timing in the sample

The time course of obstruction severity in the group as a whole was notable (figure 2a and b). Neither glottic nor supraglottic obstruction was prominent at rest or work rates <75% of peak work capacity. When the sample was narrowed to those with moderate or severe inspiratory glottic obstruction, the general trend was similar, although estimates were higher near peak work capacity (figure 2c and d). The severity of obstruction at both a glottic and supraglottic level reached their observed maximal levels at peak work capacity. There were significant differences in obstruction severity at a glottic and supraglottic level when peak work capacity was compared with 90% peak work capacity (p<0.0001 for both) in the overall subject sample. When the sample was restricted to subjects with moderate or severe obstruction, this comparison yielded similar results (p<0.0001 for both). More notable differences were measured between peak work capacity and all lower levels of submaximal exercise (in both the general sample of

TABLE 2 Data interpretability across rest, incremental ramp exercise to a symptom-limited peak and recovery

Obstruction	Rest	Peak work capacity %						Recovery time s			
		25	50	75	90	100	15	30	45	60	
Glottic Supraglottic	90 96	97 99	96 97	100 100	99 99	97 99	94 94	94 93	96 96	97 99	

Data are presented as % of windows scored as interpretable by at least two of three reviewers across rest, incremental exercise and recovery.



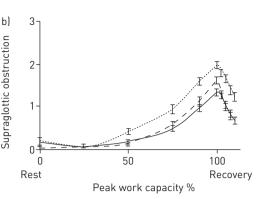


FIGURE 1 Raw inter-rater comparisons of a) glottic and b) supraglottic obstruction across rest, incremental ramp exercise to a symptom-limited peak and recovery in all subjects. Solid, dashed and dotted lines represent the average obstruction assessments across each of three reviewers, respectively, with standard errors noted. Data points represent the average obstruction ratings across all patients as per the scoring scale of ROKSUND et al. [19] with larger numbers representing increased obstruction. While differences are noted across reviewers at high work rates and during recovery, general trends were consistent across reviewers.

patients as well as in the sample with moderate-to-severe obstruction). Glottic obstruction rapidly resolved in both the general sample and in the sample of patients that demonstrated moderate to severe obstruction. Our pre-specified comparison between obstruction at peak capacity and 1 min into recovery reached statistical significance for both the glottis and supraglottis (p<0.0001 for both). Significant statistical differences were also noted in glottic obstruction between peak work capacity and the windows centred at 30 and 45 s into recovery as well (p=0.0096 and 0.0001 respectively). The resolution of supraglottic obstruction was also rapid, with statistically significant differences between peak work capacity and the windows centred at 15, 30 and 45 s into recovery (p=0.031, p<0.0001 and p<0.0001 respectively). Figure s1 (in online supplementary material) shows that averages for glottic and supraglottic outcomes based on raw data were similar to modelled estimates displayed in figure 2.

Observation timing within individuals

When the observation windows were evaluated within each individual, a similar trend emerged (table 5). The window of observed maximal obstruction severity was more likely to be the window of peak work capacity than windows correlating with rest, 90% peak work capacity, or any window in recovery.

Discussion

This is the first report that quantitatively defines the dependence of laryngeal obstruction on work rate and recovery time. The likelihood of observing laryngeal obstruction of any degree at either a glottic or supraglottic level is highly dependent on the conditions under which the observation is made. In this study, we present a clinical sample of adolescents and young adults that raised clinical concern for E-ILO. We demonstrate that, in the group as a whole, laryngeal obstruction at peak work capacity is more severe than obstruction during rest, submaximal exercise, and recovery. We demonstrated, within individual tests, the likelihood of observed maximal obstruction was highest at peak work capacity. We also demonstrated that, even in a highly biased population studied with CLE, the likelihood of observing severe glottic obstruction was low and that observed obstruction rapidly resolved.

Significance of the findings

This description of laryngeal obstruction across rest, exercise, and recovery may impact the manner in which clinicians and researchers document laryngeal obstruction during exercise. In current clinical practice, there

TABLE 3 Distribution of maximal inspiratory laryngeal obstruction

	None or minimal	Mild	Moderate	Severe
Glottic	6 (8)	33 (46)	26 (37)	6 (8)
Supraglottic	3 (4)	15 (21)	51 (72)	2 (3)

Data are presented as n [%] of patients in whom observed maximal obstruction at a glottic and supraglottic level was characterised as none/minimal, mild, moderate and severe respectively.

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TABLE 4 Coexistence of glottic and supraglottic obstruction

Inspiratory glottic obstruction	Supraglottic obstruction							
	None or minimal	Mild	Moderate	Severe				
None or minimal	1	3	2	0				
Mild	2	6	25	0				
Moderate	0	5	20	1				
Severe	0	1	4	1				

Data are presented an patients in whom observed maximal supraglottic obstruction was characterised as none/minimal, mild, moderate and severe respectively in patients stratified by the severity of glottic obstruction.

is noted heterogeneity in diagnostic techniques for this condition. In the literature, this condition is diagnosed *via* clinical history, resting laryngoscopy, post-exercise laryngoscopy, pre-and post-exercise laryngoscopy, and CLE. We believe that visualisation of laryngeal obstruction is required to diagnose E-ILO, especially in research samples. Furthermore, we believe that these are compelling data supporting CLE as the preferred diagnostic method for documenting laryngeal obstruction for two reasons. First, it seems clear that one is much more likely to observe obstruction at peak work capacity than during any other window of time. Second, observed maximal obstruction is not necessarily synonymous with total obstruction or a clinically significant degree of obstruction. Incomplete obstruction may be much easier to interpret in the context of multiple time periods of lower degrees of obstruction that CLE provides.

Findings in the context of previous studies

Continuous laryngoscopy during exercise is a relatively new procedure. Our findings are similar to a qualitative description (without quantitative support) of the rapid obstruction resolution [14]. Authors have advocated for the use of CLE for its effects in minimising theorised false positive findings in anxious patients post-exercise [5]. Although we did not present data quantitating the effect of laryngoscope

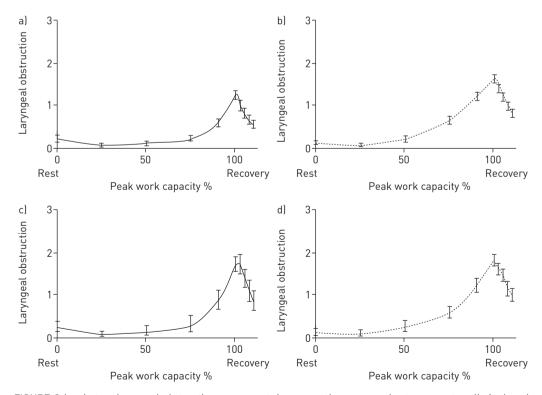


FIGURE 2 Inspiratory laryngeal obstruction across rest, incremental ramp exercise to a symptom-limited peak and recovery. Laryngeal obstruction in the entire group is quantitated at the level of the a] glottis and b] supraglottis, respectively. Laryngeal obstruction in subjects with moderate or severe inspiratory glottic adduction in any test window is also quantitated at the level of the c] glottis and d] supraglottis, respectively. Confidence intervals surround estimates and are based on the scoring scale of Roksund et al. [19] and the statistical model (see Statistical Analysis section in Materials and Methods and the online supplementary material for detail).

TABLE 5 Time windows of peak obstruction in individual tests

Airway location	Rest	Peak work capacity %					Recover	y time s		
		25	50	75	90	100	15	30	45	60
Glottic (n=65) Supraglottic (n=68)	2 1	0	0 1	2 5	7 20	44 50	18 25	10 14	9 11	7 7

Data are presented as n continuous laryngoscopy during exercise tests in which the specific time windows were rated as maximally obstructed. In tests with more than a single window scored with observed maximal obstruction, each window is recorded. Patients with no observed obstruction by a specific structure are not included.

placement on glottic configuration, we anecdotally note that procedural anxiety is an important consideration especially in paediatric populations.

Challenges of the testing environment

The study of E-ILO is in its infancy and much work remains before there will be data-driven guidelines on which to base diagnostic and research strategies. Although the data quantified the relationships between laryngeal obstruction during exercise and both work rate and recovery time, it is possible that laryngeal obstruction is dependent on much more than exercise intensity.

Laryngeal obstruction during exercise may vary across patient populations. Here, we presented a clinical group of adolescent and young adult patients suspected of having E-ILO based on the clinical history which included the response to previous treatments. It is possible that other populations will demonstrate slightly different findings. The presence or absence of severe lower airways disease may be an important contributor [23].

Laryngeal obstruction during exercise may vary across exercise mode and protocol. It is difficult to administer a uniform exercise stimulus to a large population of patients and simultaneously maximise the likelihood of observing obstruction in a given individual. In the case of this study, we used an incremental ramp protocol to a symptom-limited peak, often used to quantitate cardiopulmonary function. In terms of this study, a benefit of this approach is that it allows for a precise quantification of work rate. However, it is possible that the likelihood of observed E-ILO would increase in testing environments that utilise treadmill ergometry or sprint exercise.

The likelihood of observing E-ILO may also vary across testing environments or other individual factors. It can be speculated that multiple phenotypes of patients with E-ILO might exist differing in potential factors such as laryngeal pliability, laryngeal reactivity, lower airways disease and behavioural responses to external motivation and situational stress.

Challenges in data analysis

Data analysis presents a second major challenge. As with any new technology which allows for increased data acquisition, there is now a need for improved data processing. At this time, there are no widely-available metrics which can precisely quantitate obstruction. Inter-rater reliability in subjective airway assessments has been previously demonstrated [24]. Some authors have advocated for the use of the EILOMEA software programme to measure specific, manually-identified laryngeal structures in isolated still frame images which has shown to correlate well with subjective assessments of individual respiratory cycles [25, 26]. In the case of this study, we preferred a semiquantitative approach that accounted for thousands of frames of video, referenced within an individual to a time segment of minimal obstruction. With this approach, we noted significant differences in upper airway assessment across reviewers, but we prospectively selected an analytical model designed to take into account these differences. However, our approach, which was designed to minimise bias in the research setting, cannot be easily applied in the clinical arena (where a single subjective characterisation of laryngeal obstruction in term of the Roksund scale is most feasible at this time) [19].

Outside of specific quantitation of video findings, there is also a very important need to link observed findings with clinical significance. In our group of patients, the significance of the CLE findings and final diagnoses were based in part on recognised bias in the group (a generally fit population often specifically referred for their lack of clinical responsiveness to asthma therapy) and the sum of available clinical data acquired previously (which included at least one history and physical and resting spirometry in all cases, bronchodilator testing in 96% of cases and methacholine challenges in 10% of cases at our specialty referral institution). Our group biases are notable for asthma diagnosed by strict criteria (12% improvement in

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forced expiratory volume in 1 s with bronchodilator administration or 20% decline with methacholine administration of 4 mg·min⁻¹ or less) in 15% of patients. We diagnosed all patients with moderate and severe obstruction at peak work capacity with EILO and diagnosed some patients with lower levels of visualised obstruction with EILO based on our clinical judgment. The presence or absence of strict asthma diagnosis did not affect the general contour of the obstruction *versus* work rate relationship (data not shown). While we feel that the likelihood of observing laryngeal obstruction during CLE is strongly dependent on the clinical history (and possibly ancillary tests), we feel that, if laryngeal obstruction is observed, the likelihood of observing maximal laryngeal obstruction at peak work capacity is very high.

However, there are few published studies linking precise quantitation of obstruction with symptoms or physiologic changes of interest [19]. In our sample, we demonstrate significant statistical changes in laryngeal obstruction across time, but we specifically avoided commenting on the degree of relative obstruction required to cause symptoms or impair performance, which may vary across individuals and exercise requirements. Our group of patients demonstrated a high frequency of (at least mild) obstruction at either a glottic or supraglottic level, a finding conceptually similar to data from previously-published referral groups, but higher than in groups representing general populations [6, 19]. We feel that both bias in the reason for referral and observable mild findings of unclear significance in general populations likely contribute to our data, but we cannot quantitate the relative impact of each. Moreover, the significance of the relative contributions of supraglottic and glottic obstruction has yet to be precisely defined. Early literature initially described abnormal flow volume loops in patients with E-ILO [4]. More recently, the lack of correlation between CLE observations and pre-and post-exercise flow-volume loops has been described, as well as a lack of correlation between CLE observations and flow loops obtained during exercise in some fit individuals [16, 27, 28]. Intuitively, one might think that clinically significant laryngeal obstruction might impact ventilatory mechanics. We specifically avoided this topic, choosing to focus solely on observed obstruction. However, this is clearly a subject that requires further study with a goal of scientifically identifying the contribution of laryngeal obstruction to exertional breathing problems.

Conclusion

In our sample, glottic and supraglottic behaviour varied across rest, incremental exercise and recovery. Observed maximal laryngeal obstruction occurred at peak work capacity. The resolution of laryngeal obstruction during recovery was rapid (starting in less than 30 s). This data may impact the diagnostic strategies used in E-ILO and present a compelling rationale to use CLE for the documentation of laryngeal obstruction in clinical and research samples. Moving forward, CLE may also quantitatively link laryngeal obstruction with dyspnoea.

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