

# Regional lung function and heterogeneity of specific gas volume in healthy and emphysematous subjects

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ABSTRACT: The aim of our study was to study regional lung function by standard computed tomography (CT) and characterise regional variations of density and specific gas volume (SVg) between different lung volumes.

We studied 10 healthy and 10 severely emphysematous subjects. Corresponding CT images taken at high and low lung volumes were registered by optical flow to obtain two-dimensional maps of pixel-by-pixel differences of density ( $\Delta$ HU) and SVg ( $\Delta$ SVg) at slice levels near the aortic arch, carina and top diaphragm.

In healthy subjects,  $\Delta$ HU was higher at all levels (p<0.001) with higher variability expressed as interquartile range (p<0.001), largely due to its differences between dorsal and ventral regions. In patients, median  $\Delta$ SVg values were 3.2 times lower than healthy volunteers (p<0.001), while heterogeneity of  $\Delta$ SVg maps, expressed as quartile coefficient of variation, was 5.4 times higher (p<0.001). In all patients, there were areas with negative values of  $\Delta$ SVg.

In conclusion,  $\Delta SVg$  is uniform in healthy lungs and minimally influenced by gravity. The significant  $\Delta SVg$  heterogeneity observed in emphysema allows identification of areas of alveolar destruction and gas trapping and suggests that  $\Delta SVg$  maps provide useful information for evaluation and planning of emerging treatments that target trapped gas for removal.

KEYWORDS: Emphysema, high-resolution computed tomography, image analysis

n recent years, a number of different bronchoscopic techniques have been introduced for the treatment of severe emphysema, such as airway bypass [1, 2], endobronchial one-way exit valves [3], thermal vapour ablation [4], biological sealants [5, 6] and airway implants [7]. Functional regional analysis of the lung is required as a tool for planning and guiding these treatments. Nuclear imaging, such as single photon emission computed tomography (CT) and positron emission tomography, provides direct regional ventilation imaging [8-10], but suffers from low spatial resolution and low signal-to-noise ratio. Hyperpolarised noble gas magnetic resonance imaging is a safe technique that provides regional ventilation imaging with no repeated exposure to ionising radiation and with good temporal resolution [11-13]. Conversely, it suffers from being partially quantitative and from requiring special equipment to hyperpolarise the gas. Xenonenhanced CT provides a regional measure of ventilation with high spatial resolution. Xenon gas, however, is expensive, requires special equipment and must be carefully monitored because of its sedative and anaesthetic properties [14, 15].

Recently, standard CT has been increasingly considered not only to study parenchymal and airway wall anatomical alterations in emphysema, but also to provide data on regional lung function by using images acquired at different lung volumes. SIMON [16] introduced the concept of specific volume change between corresponding regions at inspired and expired breath-hold CT images. DOUGHERTY and co-workers [17, 18] proposed an innovative method to visualise gas trapping in emphysema based on the density changes occurring between registered lung volumes.

COXSON *et al.* [19] introduced the concept of specific gas volume (SVg), *i.e.* the volume of gas per gramme of lung tissue. More recently, SALITO *et al.* [20] demonstrated how the analysis of the variations of SVg can provide a valuable tool for clearly identifying and quantifying the extent and severity of trapped gas. The same authors have also shown that the use of thick slices and a smooth filter for image reconstruction reduce the effect of low-attenuation pixels on SVg that leads to overestimation of the severity of emphysema and trapped gas [21].

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We hypothesised that differences in SVg obtained from registered CT images acquired at two different lung volumes, visualised as coloured maps and quantified as frequency distributions, are able to provide regional functional evaluation in severe emphysema. More specifically, the aims of the present work were to: 1) introduce a new method of registration and analysis able to regionally investigate lung function in terms of SVg variations, based on standard CT images acquired at two different lung volumes; 2) evaluate the proposed method in both healthy volunteers and subjects with severe emphysema; and 3) explore possible mechanisms contributing to different patterns of SVg heterogeneity, such as gravity and collateral ventilation.

#### **MATERIALS AND METHODS**

#### Study subjects

CT imaging on 10 healthy volunteers with no history of smoking or lung disease and on 10 patients with severe emphysema (forced expiratory volume in 1 s <50% predicted, residual volume (RV)/total lung capacity (TLC)  $\geq$ 0.65) were performed for this study. The Institutional Review Board of the Washington University in St. Louis, MO, USA, approved the protocol for healthy subjects and informed written consent was obtained from each one. The data collected on emphysematous patients originated from different locations and are part of the pre-treatment assessment database of a clinical trial evaluating the safety and effectiveness of a new procedure called airway bypass (registered at www.clinicaltrials.gov with identifier number NCT00391612). Local ethical committee review and approval were obtained, and written informed consent was obtained from all the patients.

Healthy volunteers and patients were scanned while supine during suspended end-inspiration at TLC and during suspended end-expiration at RV.

CT scans of all the healthy subjects were performed using a SOMATOM Definition Dual Source CT (Siemens, Forchheim, Germany). Scanner settings were as follows: tube voltage, 120 kVp; tube current, 110 mA; rotation time, 500 ms; matrix, 512 × 512; slice reconstruction thickness, 5 mm. In patients with emphysema, CT imaging (rotation time, 500 ms; matrix, 512 × 512; slice reconstruction thickness, 10 mm) was performed using the same type of Siemens scanner in nine subjects and using a GE scanner (Light-Speed VCT; GE Healthcare, Milwaukee, WI, USA) in one patient (rotation time 741 ms). CT images were reconstructed with B30f and "standard" reconstruction filters, respectively, for Siemens and GE scanners. The resulting radiation dose was in the order of 2.4 and 2.5–2.7 mSv per scan, respectively, in both healthy controls and patients.

# Image analysis

The method for image segmentation, registration and warping is summarised in fig. 1. From the scans acquired at TLC and RV, the correspondent images at the same apical-basal level of the lung were selected. As suggested by MISHIMA *et al.* [22], three slice-levels were considered: aortic arch (AA), carina (C) and top diaphragm (TD). At each of these levels, images taken at RV were deformed onto the TLC images by an automatic registration algorithm based on the optical flow method (OFM) proposed by LUCAS and KANADE [23].

Successively, the inspiratory and deformed expiratory CT images were converted into both Hounsfield Units (HU) and SVg [20] and then subtracted on a pixel-by-pixel basis to evaluate the HU and SVg difference maps ( $\Delta$ HU and  $\Delta$ SVg, respectively) between the two lung volumes. SVg was calculated pixel-by-pixel as:

SVg=specific volume(tissue and gas) -specific volume(tissue)

where specific volume (expressed in  $mL \cdot g^{-1}$ ) is the inverse of density  $(g \cdot mL^{-1})$ .

The specific volume of the lung (tissue and gas) was measured from the CT as:

Specific volume<sub>(tissue and gas)</sub> (mL·g<sup>-1</sup>)=1024/HU (mg·mL<sup>-1</sup>)+1024

On the basis of existing literature [24], the specific volume of tissue was assumed to be equal to  $1/1.065=0.939 \text{ mL} \cdot \text{g}^{-1}$ .

Once the maps of  $\Delta HU$  and  $\Delta SVg$  were obtained at the AA, C and TD levels, descriptive statics were calculated.

# Image registration

Image registration was performed by applying a procedure based on the following four steps. 1) Segmentation of the lung, based on the method proposed by Hu et al. [25]. 2) Preprocessing the input images to reduce any intensity bias by extraction of the following features from the original images: Laplacian [18], external border of the lung, vessels and fissures. Laplacian was obtained by a five-by-five image filter approximating the shape of the two-dimensional Laplacian operator. Vessels were extracted by thresholding the images at -400 HU. Fissures were extracted semi-automatically on the basis of horizontal and vertical gradients of the image. The extracted features were combined into a single pre-processed image satisfying the requirements of standard OFM, i.e. conservation of grey intensity of the moving structures. 3) Estimation of the global transformation describing the global motion of the lung, including translation, rotation and dilation of the entire image. 4) Application of OFM to the pre-processed images to estimate the local deformation of the lung on a pixel-by-pixel basis. The OFM relies on the hypothesis of pixel grey intensity conservation under motion [26] and displacement vector similarity within a small neighbourhood surrounding the pixel [23]. To overcome the large displacement occurring between inspiratory and expiratory lung images, a pyramidal approach [27] organised in four levels was applied. From the coarsest to the finest level of the pyramid, each stage the motion was iteratively estimated to maximise the cross-correlation with the reference image. The resulting vector field, expressing the pixel-by-pixel displacement between the two images, was used to deform the expiratory lung image onto the inspiratory one. A linear interpolation was applied to maintain the grey level of the parenchyma.

#### Quantitative analysis

Once the registration process was completed, difference maps were obtained by subtracting Hounsfield units and SVg values pixel-by-pixel on the registered images.

 $\Delta$ HU was defined as:

 $\Delta HU = HU_{RV} - HU_{TLC}$ 

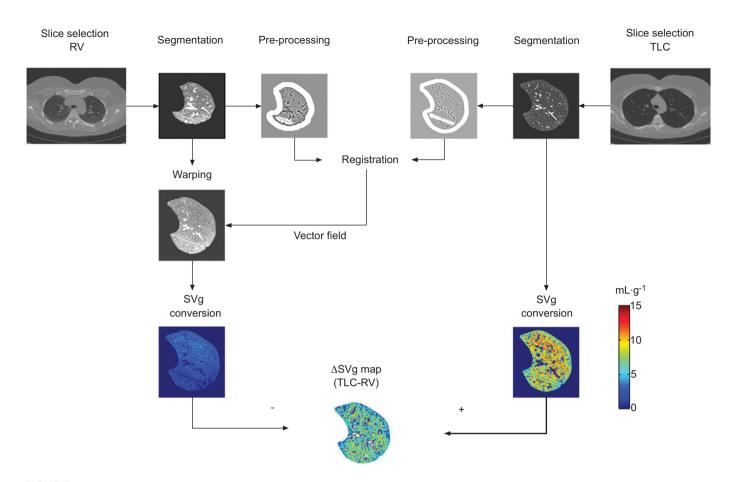


FIGURE 1. Schematic diagram of the proposed method for the analysis of regional distribution of specific gas volume changes between total lung capacity (TLC) and residual volume (RV). Two corresponding slices acquired at TLC and RV on the same lung level are firstly segmented and pre-processed to extract the relevant features (see main text). The pre-processed images are then registered by the optical flow technique. The resulting deformation field is applied to the original segmented RV image to warp it onto the reference (TLC image). The original TLC and the deformed RV images are then converted into specific gas volume (SVg) images and subtracted pixel-by-pixel, to obtain the change in specific gas volume (ΔSVg) coloured maps.

 $\Delta$ SVg values, expressed in mL·g<sup>-1</sup>, were defined as:

$$\Delta SVg = SVg_{TLC} - SVg_{RV}$$

For each difference map, the corresponding frequency distribution histogram was obtained. In order to analyse the effects of gravity on the distribution of  $\Delta HU$  and  $\Delta SVg$  within the lung, the maps were partitioned into three gravitational regions, corresponding to thirds of the lung based on equal vertical extent: the ventral portion, the central region and the dorsal region. The median value of each portion was computed.

All image processing algorithms and quantitative analysis following image registration were implemented by custom software developed in MATLAB (The MathWorksInc, Natick, MA, USA).

#### Statistical analysis

Statistical analysis was performed using SigmaStat version 11.0 (Systat Software, San Jose, CA, USA).

As frequency distributions of  $\Delta HU$  and  $\Delta SVg$  are not normal, descriptive statistics were performed in terms of median, interquartile range (IQR) and skewness. Quartile variation coefficient (QVC, equal to the ratio between IQR and the sum

of the 75th and the 25th percentiles) was calculated and used as an index of the spatial heterogeneity of  $\Delta HU$  and  $\Delta SVg$  distributions within the lung, with larger values indicating greater heterogeneity.

To compare median, IQR, skewness and QVC of  $\Delta$ HU and  $\Delta$ SVg histograms between the two groups of healthy and emphysematous subjects in the three lung levels (*i.e.* AA, C and TD), a two-way ANOVA was performed with presence of pathology and lung level as independent factors.

A two-way ANOVA was used to compare the gravitational gradient of  $\Delta HU$  and  $\Delta SVg$  across the lung regions (three levels: ventral, central and dorsal), with gravitational regions and lung level as independent factors.

*Post hoc* tests were based on the Holm–Sidak method. Significance was determined by a p-value <0.05.

#### **RESULTS**

Two representative examples of the registration result are shown in figure 2 for a healthy (fig. 2a) and an emphysematous (fig. 2b) subject. The registration algorithm allows, in both cases, the maintenance of the signal intensity of the original RV images and alignment of the main features to the TLC images.



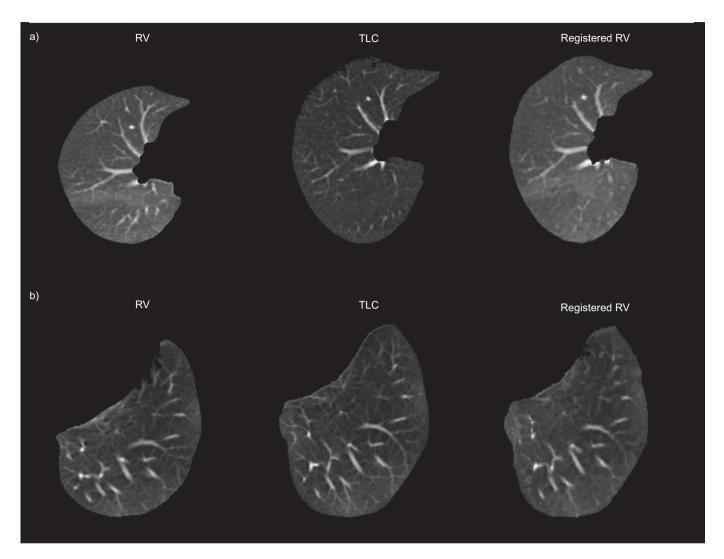


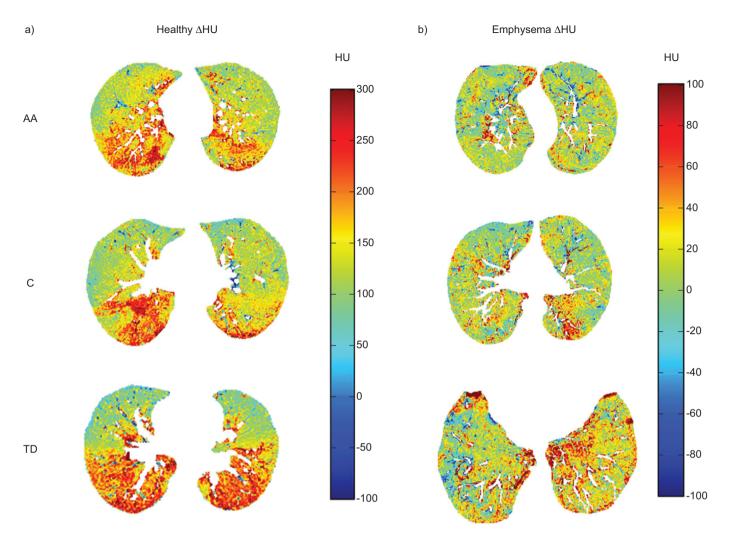
FIGURE 2. Representative results of image registration process on a) healthy and b) emphysematous lungs. In each panel, the segmented lung at residual volume (RV), total lung capacity (TLC) and the deformed RV image (registered RV) are shown.

Representative  $\Delta$ HU maps are shown in figure 3 from one healthy (fig. 3a) and one emphysematous (fig. 3b) subject. Maps at the three different considered lung levels are illustrated. In the healthy subject, at each level,  $\Delta$ HU is nonuniformly distributed within the lung, with increasing values from ventral to dorsal areas. In the patient with emphysema, the range of  $\Delta$ HU variations is markedly reduced and no gradient is present in any direction at all lung levels.

The  $\Delta SVg$  maps obtained from the same subjects shown in figure 3 are illustrated in figure 4. While the healthy subject is characterised at every level by a homogeneous distribution of  $\Delta SVg$  within the lung with  $\Delta SVg$  values averaging about 5 mL·g<sup>-1</sup>, the patient with emphysema shows a much higher degree of  $\Delta SVg$  heterogeneity and lower values averaging about 2 mL·g<sup>-1</sup>.

The individual histograms of  $\Delta HU$  and  $\Delta SVg$  of all healthy and emphysematous subjects for each lung level are shown in figure 5 and the results of descriptive statistics are shown in table 1, and suggest interesting observations. Clearly, the  $\Delta HU$  and  $\Delta SVg$  heterogeneity and average values described above and shown in figures 3 and 4 in two representative subjects

were found to be common to the entire population of either healthy or emphysematous subjects. Emphysema was characterised by significantly lower median ΔHU values that were distributed over a narrower range of values (lower IQR) compared with healthy subjects (p<0.001). Conversely, ΔSVg was significantly lower in emphysematous than in healthy subjects (p<0.001), and IQR was higher in emphysematous than in healthy subjects (p<0.001). Quartile variation coefficients (QCV) of both ΔHU and ΔSVg were significantly higher (p<0.001) in emphysematous than in healthy subjects, suggesting a higher degree of heterogeneity. Healthy subjects are also characterised by left-skewed  $\Delta SVg$  histograms (i.e. a longer tail in the range of lower  $\Delta SVg$  values and distribution mass concentrated on the range of higher ΔSVg values) associated with negative values of skewness, particularly at the AA and C levels. This means that in the healthy lung, the occurrence of high  $\Delta SVg$  values is more frequent than low  $\Delta SVg$  values. Conversely, severely emphysematous patients are characterised by right-skewed  $\Delta SVg$  distributions and positive skewness, suggesting more frequent low  $\Delta SVg$  values, independent of lung level.



**FIGURE 3.** Hounsfield unit variations, calculated as  $\Delta HU=HU_{RV}-HU_{TLC}$ , where  $\Delta HU$  is the difference in pixel density,  $HU_{RV}$  is the pixel density at warped residual volume and  $HU_{TLC}$  is the pixel density at total lung capacity of a representative a) healthy and b) emphysematous subject, calculated at aortic arch (AA), carina (C) and top of diaphragm (TD) levels. The ranges of display are chosen differently for the two groups (-100–300 HU for the healthy and -100–100 HU for the emphysematous lung) in order to highlight the changes occurring between the two lung volumes in emphysema, which is characterised by a lower range of variation.

The vertical (ventral to dorsal) variations of  $\Delta HU$  and  $\Delta SVg$ , clearly visible in the representative subjects shown in figures 3 and 4, were quantitatively analysed and the results are shown in figure 6. In healthy controls,  $\Delta HU$  was dependent on gravity, *i.e.* larger in dorsal than ventral regions, at all lung levels (p<0.01 at AA level and p<0.001 at C and TD levels). This effect was more pronounced at the TD level, where the central region was more significantly different than ventral (p<0.01) and dorsal (p<0.001) compared with the AA and C levels. Conversely, in emphysematous subjects,  $\Delta HU$ , although different between levels, was not gravity dependent. No gravitational gradients of  $\Delta SVg$  were present both in healthy and emphysematous subjects.

As shown by the individual  $\Delta SVg$  histograms (fig. 5), in all patients with emphysema a number of negative  $\Delta SVg$  values were present. In figure 7, a representative example of decreasing density from TLC to RV resulting in negative  $\Delta SVg$  values is shown.

# DISCUSSION

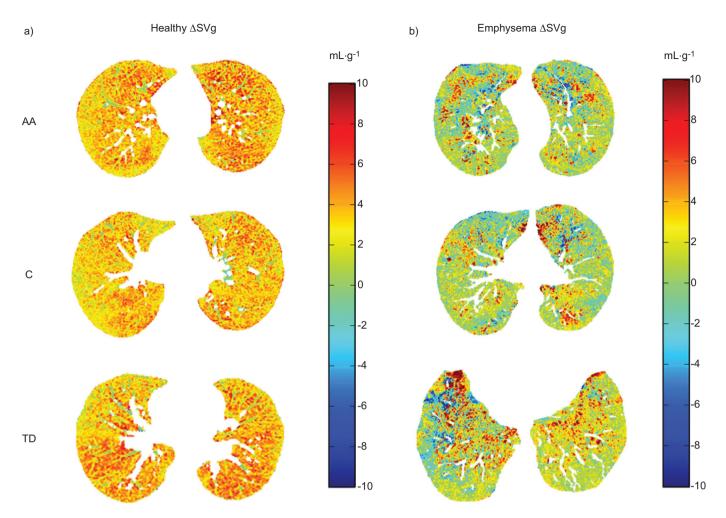
In this article, we propose a new method for the analysis of the regional lung function in terms of density and  $\Delta SVg$  between

different lung volumes and we introduce innovative methods for registration of lung CT images taken at high and low lung volume. Our results demonstrate that the proposed methods can be successfully applied in both healthy subjects and patients with severe emphysema, for a qualitative and quantitative evaluation of tissue and gas changes with volume, which relate directly to lung function.

In healthy subjects, the higher within-subject variability of  $\Delta HU$  values, indicated by the higher IQR, is associated with the gravitational dependence of density within the lung, with the dorsal regions showing values of  $\Delta HU$  higher than the ventral ones (fig. 6). Conversely, patients with severe emphysema are characterised by  $\Delta HU$  values much lower than healthy controls distributed over a narrower range of values and associated with no gravity dependence (fig. 6). When maps of  $\Delta SVg$  are considered, no gravitational gradient is present in either healthy subjects or in subjects with emphysema (fig. 6). Healthy subjects are characterised by a homogeneous distribution of  $\Delta SVg$  at all the three slice levels (fig. 4a, c and e), as indicated by the lower value of QVC. Conversely, SVg variations are markedly lower in



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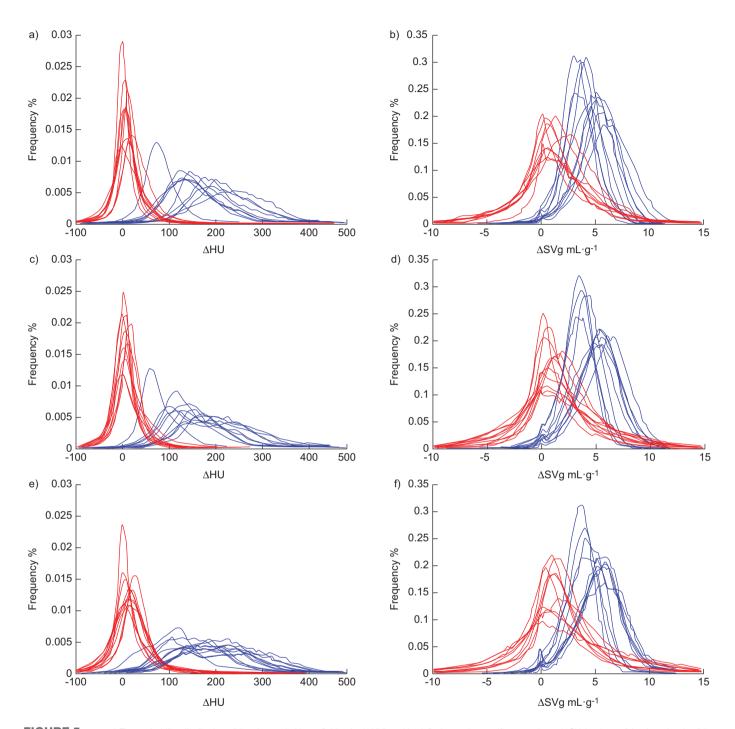
**FIGURE 4.** Specific gas volume (SVg) difference maps (ΔSVg=SVg<sub>TLC</sub>-SVg<sub>WRV</sub>, where ΔSVg is the difference in specific gas volume, SVg<sub>TLC</sub> is the specific gas volume at total lung capacity and SVg<sub>WRV</sub> is the specific gas volume at warped residual volume) of a representative a) healthy and b) emphysematous subject, calculated at aortic arch (AA), carina (C) and top diaphragm (TD) levels. The chosen range of display is from -10 to 10 mL-g<sup>-1</sup> in both the cases.

patients with severe emphysema and more heterogeneously distributed within the lung (fig. 4, right). Our findings suggest that alveolar destruction and gas trapping occur at all the considered levels in the emphysematous lung with, however, a high degree of local heterogeneity, and that QVC might provide an index to enable us to detect early signs of emphysema.

Our findings are in accordance with the classical studies of MILIC-EMILI *et al.* [8] and BRYAN *et al.* [28] on regional ventilation. These authors showed that in the range of lung volumes between 20 and 100% of vital capacity, the proportion of inspired gas delivered to any lung region is constant, but gravity-dependent lung zones receive relatively more of the inspired volume than the nongravity-dependent zones.

In the current work, the  $\Delta HU$  maps obtained in healthy subjects show how in the dependent (dorsal) regions gas volume changes are higher than in the nondependent (ventral) areas. In the dependent regions at RV, density is higher as alveoli are compressed, while at TLC density is reduced by alveolar opening. Conversely, the corresponding maps of  $\Delta SVg$  show that in the healthy lung,  $\Delta SVg$  is highly homogeneous and, therefore, the changes of the amount of

gas relative to tissue mass are the same throughout the lung. The implication of these findings is that considering  $\Delta SVg$ maps rather than those reported by DOUGHERTY and coworkers [17, 18] or the ventilation maps proposed by GUERRERO and co-workers [29, 30], has the great advantage that the dependence of ventilation distribution on gravity is minimised. In these maps, therefore, any heterogeneity is the result of phenomena other than gravity. An interesting example is shown in figure 4. The  $\Delta$ SVg map at the TD level in the emphysematous patient shows a high local heterogeneity in the ventral part of the right lung. Positive (red) and negative (blue) ΔSVg values alternate, suggesting that in this area, there are small regions where SVg increases, as expected, going from RV to TLC adjacent to small regions where SVg decreases. One possible explanation of this finding is the local heterogeneity in tissue compliance. Another possible mechanism is the presence of collateral channels that allow collateral flow in this area. Conversely, in the healthy lung, collateral ventilation has a negligible importance in the distribution of ventilation as the collateral channels have about 50 times higher resistance than the normal airways [31]. Hogg et al. [31] revealed a decreased resistance to collateral airflow in the post



**FIGURE 5.** a, c, e) The probability distribution of the change in Hounsfield units ( $\Delta$ HU) and b, d, f) change in specific gas volume ( $\Delta$ SVg) values of the three levels of the lung: a, b) aortic arch; c, d) carina; e, f) top of diaphragm. In each graph, the healthy subjects (blue) are characterised by higher  $\Delta$ HU and  $\Delta$ SVg values, as the histograms are shifted towards higher values. The interquartile range of the healthy results higher in  $\Delta$ HU but lower in  $\Delta$ SVg with respect to emphysema, and this behaviour could be explained by the gravity effect to which the healthy maps are subjected. Moreover, in emphysema, these histograms show a variable percentage of negative values that could be explained as a different percentage of collateral ventilation.

mortem emphysematous lung, demonstrating collateral ventilation between segments and across the major fissure. Collateral ventilation after bronchial occlusion can be studied either by bronchoscopy [32–34] or by imaging techniques other than standard CT [35–37]. To the best of our knowledge, no reports of studies in which standard CT is used to assess collateral ventilation are available.

Another representative example of how  $\Delta SVg$  maps can be used to assess collateral ventilation is shown in figure 7. In this case, showing a patient different from that shown in figure 4, the application of a density mask (-970 HU threshold) to the corresponding images taken at TLC and RV indicates a lower amount of tissue at RV than TLC in the dorsal region. On the  $\Delta SVg$  map, this corresponds to negative (blue)  $\Delta SVg$  values in



TABLE 1 Descriptive statistics of change in Hounsfield units values and change in specific gas volume#

	ΔΗU						ΔSVg mL·g <sup>-1</sup>					
_	Healthy			Emphysema			Healthy			Emphysema		
	AA	С	TD	AA	С	TD	AA	С	TD	AA	С	TD
Median	176±50	168±46	_	12.5 ± 7.6***	_	19±9***	_	4.7 ± 1.0			1.3±0.6***	
IQR Skewness	$80 \pm 16$ -0.2 \pm 0.4	$97 \pm 24$ -0.0 \pm 0.3	$118 \pm 25$ $-0.1 \pm 0.2$	$33.3 \pm 9.1***$ $0.0 \pm 0.7$	$34 \pm 10***$ -0.1 \pm 1.1	43±8*** -0.1±0.5	$2.2 \pm 0.4$ $-0.2 \pm 0.4$	_	$2.5 \pm 0.4$ -0.003 \pm 0.3	$4.0 \pm 0.8***$ $0.5 \pm 0.5***$	$4.1 \pm 1.2***$ $0.6 \pm 0.5**$	$4.1 \pm 1.5***$ $0.6 \pm 0.3***$
QCV	$0.2 \pm 0.03$	$0.3 \pm 0.04$	$0.3 \pm 0.0$	1.5 ± 0.6***	1.7 ± 1.0***	1.2±0.5***	$0.2 \pm 0.04$	$0.3 \pm 0.04$	$0.3 \pm 0.03$	1.5 ± 0.6***	1.6±0.9***	1.2±0.5***

Data are presented as mean ± sem.  $\Delta$ HU:  $\Delta$ HU=HUwarped residual volume-HUtotal lung capacity;  $\Delta$ SVg:  $\Delta$ SVg=SVgtotal lung capacity-SVgwarped residual volume; AA: aortic arch; C: carina; TD: top diaphragm; IQR: interquartile range; QVC: quartile variation coefficient. #: shown as average values. \*\*: p<0.01, versus corresponding healthy average; \*\*\*: p<0.001, versus corresponding healthy average.

the same area, just below the lobar fissure. In this example, collateral ventilation seems to occur between lobes rather than within the same lobe. The general validity of the two representative cases reported above can be appreciated in figure 5, where it is shown that all the patients show that a significant area of the histogram lies in the negative range. A possible way of quantifying the amount of collateral ventilation would therefore be to calculate the percentage of the  $\Delta SVg$  histogram lying below zero in a given region.

In the present work, we have also introduced a method for registration applied to CT lung images taken at different lung volumes, which extends previously proposed techniques. TORIGIAN et al. [38] introduced a registration algorithm to analyse density differences between different lung volumes in emphysema. The same authors proposed an image preprocessing algorithm, based on the image Laplacian, to overcome the requirement of grey intensity constancy of the OFM. In this study, we considered not only the image Laplacian for image pre-processing, but other features, such as the external border of the lung, the vessels and the fissures, which are anatomical structures that allow more accurate registration for spatially inhomogeneous functional differences within the same slice. Our development of these algorithms was necessary to allow registration of the images taken in the healthy subjects, where density changes between high and low lung volume are so high that the Laplacian is not sufficient to satisfy the requirements of OFM.

The main limitation of this work is that the analysis was performed in two dimensions. This is not a difficult problem when studying emphysema, as the small lung deformation can be tracked accurately in two dimensions. In the healthy lung, conversely, lung deformation is much larger and this can lead to areas without corresponding vessels in the images taken at TLC and RV. This error has been partially overcome by masking the vessels thresholding the images at -400 HU both at RV and TLC. Another limitation, due to the data available from the database of the clinical trial and healthy subjects, was to consider images reconstructed with different slice thicknesses

between the volunteers (5 mm) and the patients (10 mm). Nevertheless, we have recently demonstrated [21] that the disproportionate effect of low-attenuation pixels on SVg can be significantly reduced by using thick slices (5–10 mm) combined with a smooth reconstruction filter. Another possible issue in our detailed quantitative CT analysis of severe emphysema is that accuracy of SVg is dependent on air calibration [19]. Nevertheless, the methods proposed in this study are based on differences between SVg values and, therefore, this possible limitation is overcome. Another slight limitation is the relatively modest number of patients; all patients were characterised by severe emphysema, which made a homogeneous group. The results obtained demonstrate high homogeneity within the groups and high statistical significance.

The application of the present work may have important clinical and physiological implications in the assessment of different stages of disease, and in the evaluation of either pharmacological or surgical treatments, such as minimally invasive interventions like transbronchial stents and endobronchial lung volume reduction. We believe that our method, once translated into clinical practice by a highly automated dedicated software, may be helpful both to identify regions (lobes and/or segments) where gas trapping is more pronounced and to distinguish those patients with and without collateral ventilation who are, therefore, more or less likely to benefit from lung volume reduction by minimally invasive interventions.

## **SUPPORT STATEMENT**

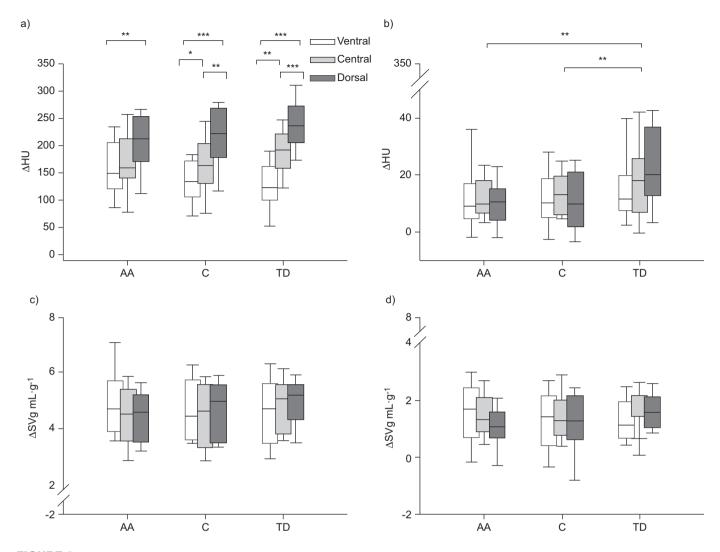
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#### **CLINICAL TRIAL**

This study is registered at www.clinicaltrials.gov with identifier number NCT00391612.

## STATEMENT OF INTEREST

None declared.



**FIGURE 6.** Gravity dependence of a and b) change in Hounsfield units (ΔHU) and c and d) specific gas volume difference (ΔSVg) in a and c) healthy controls and b and d) emphysematous subjects. In each panel, the median values of ventral, central and dorsal portions of the lung at aortic arch (AA), carina (C) and top diaphragm (TD) levels are shown. \*: p<0.05; \*\*: p<0.05; \*\*: p<0.01; \*\*\*: p<0.001.

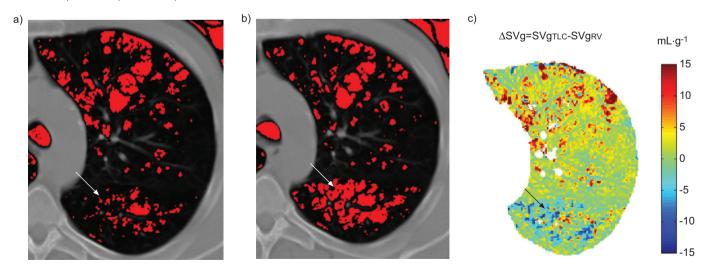


FIGURE 7. Example of interlobar collateral ventilation assessed by standard computed tomography. We applied a density mask to correspondent images at total lung capacity (TLC) and residual volume (RV) highlighting the pixels below -970 HU: a decrease in density from TLC to RV is clearly visible. The areas where this phenomena happens (e.g. arrows) result in negative change in specific gas volume (ΔSVg) values, shown in blue.

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