

Hospital admissions of adults with community-acquired pneumonia in Portugal between 2000 and 2009

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ABSTRACT: Recent studies in the USA and northern Europe have shown an increase in community-acquired pneumonia (CAP). In southern Europe, this increase has not yet been documented.

We carried out a retrospective analysis from encoded information from the Portuguese database for hospital admissions that included all individuals aged ≥18 years, with a primary diagnosis of pneumonia, who were discharged between 2000 and 2009. We excluded patients infected with HIV, individuals immunocompromised as a result of anti-cancer or immunosuppressive treatment, and transplant recipients.

Of the 294 027 admissions for CAP, 56% were male. The mean age was 73.1 years and the median age 77 years. Between 2000 and 2009, there was a 5% increase in the average age of patients admitted with CAP.

Admissions for CAP represented 3.7% of total admissions of adult patients. The average annual rate of hospital admissions for adults with CAP was 3.61 per 1000 total population, rising to 13.4 for those aged ≥65 years. Between 2000–2004 and 2005–2009 the average annual rate of hospital admission for CAP per 1000 population increased by 28.2%.

Hospital admissions for CAP in Portugal increased between 2000 and 2009. It has grown consistently over time, varying according to age with males over-represented.

KEYWORDS: Community-acquired pneumonia, incidence, pneumonia

rospective studies prior to 1987 in the USA and in northern European countries estimated an annual rate of between five and 11 cases of community-acquired pneumonia (CAP) per 1000 adult population [1–3].

The percentage of adults having to be hospitalised with CAP was very variable. Depending on the country or region analysed, the length of the study and the period in which it took place, there were differences in the population studied and the organisation of the health systems, in addition to climatic variation and the annual and seasonal changes of circulating microorganisms (e.g. Mycoplasma pneumoniae and respiratory virus).

Data published in the USA and Canada before 2000 documented global annual rates of adult hospital admissions with CAP of between 1.1 and four cases per 1000 inhabitants [4, 5], which increased to 13.2 cases per 1000 inhabitants among those aged >55 years [6]. In the USA [7] and the countries of northern Europe, such as the UK [8], Denmark [9] and the Netherlands [10],

recent studies have shown a steady increase in the number of hospital admissions for pneumonia. In the UK, between 1997-1998 and 2004-2005, there was an increase of 34% in the annual average rate of admission of patients with a primary diagnosis of pneumonia [8].

In Portugal, a southern European country, data relating to 1998-2000 show that adult admission with CAP was 2.9% of the total hospital admissions for all causes [11]. During this period, there was an average annual rate of hospitalisation of 2.66 per 1000 population \geq 15 years of age and 9.78 per 1000 for the group aged ≥ 65 years. The average age for patient admission was 69.8 years [11]. This study aims to characterise the incidence of adult admission with CAP between the years 2000 and 2009, and to analyse its evolution over these 10 years.

METHODS

The Central Administration of the Health System of the Portuguese Ministry of Health contains administrative and clinical data of all admissions

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to National Health hospitals, which covers almost the whole resident population of mainland Portugal. The clinical information, including diagnoses and procedures, is encoded from the details of the hospital discharge report by medical staff who have been specially trained in hospital coding, using the International Classification of Diseases, 9th Revision Clinical Modification (ICD-9-CM) [12].

In this study, we analysed retrospectively the hospital admissions of adults with a primary discharge diagnosis of pneumonia (ICD-9-CM 480–486 and 487.0) who were discharged between 2000 and 2009. Patients under 18 years of age and those in whom pneumonia was not the main diagnosis were excluded. As ICD-9-CM does not specify whether pneumonia is community acquired, we also excluded patients with HIV (ICD-9-CM 042–044 and/or diagnosis-related groups 488, 489 and 490), individuals who were immunocompromised by anti-cancer or immunosuppressive treatment (external cause of disease code E933.1) and transplant recipients (V42). The analysis of clinical information maintained patient anonymity.

The size of the population of mainland Portugal was obtained from the information line of the National Institute of Statistics [13] and corresponds to the estimates of the resident population at the end of the year, subdivided by age and sex, for each year of the study.

To obtain the relevant indicators for this study, we adopted a descriptive statistical analysis approach having calculated the following: Rates of admission for CAP per 1000 population, percentage of admissions for CAP from total admissions for all diagnoses, proportional distribution of admissions by age group and sex and, finally, average and median ages of CAP admissions.

All the calculations presented were obtained using the statistical software package STATA (release 11; StataCorp, College Station, TX, USA) and the Microsoft Excel spreadsheet (Microsoft Corp., Redmond, WA, USA).

RESULTS

Patient characteristics

A total of 294 027 admissions for CAP were included in the study for the 10-year period between 2000 and 2009. Of this total, 56% were males and 44% females. The median age was 77 years and the mean \pm SD age was 73.1 ± 16.0 years, with a median age of 75 years and mean \pm SD age of 71.3 ± 15.9 years for males and a median age of 79 years and an mean \pm SD age of 75.3 ± 15.7 years for females. The greatest age was 109 years for females and 111 years for males. During the course of the study, we observed a consistent increase in the annual average age of patients of both sexes (fig. 1).

In the older patient age groups, aged 75–84 and \geqslant 85 years, there was an increase in the average age. From 2000 to 2009, the average age increased by 0.45 and 0.46 years for patients aged 75–84 and \geqslant 85 years, respectively.

Out of a total 294 027 patients studied, 10.3% were between 18 and 49 years of age, 11.9% between 50 and 64 years, 19.5% between 65 and 74 years, 34.7% between 75 and 84 years, and 23.6% aged \geqslant 85 years.

Admissions for CAP per total admissions

In mainland Portugal, between 2000 and 2009, a total of 7 849 266 hospital admissions occurred. Of this total, 294 027 (3.7%) were diagnosed with pneumonia and, according to the criteria for inclusion of this study, were considered to have CAP. The proportion of CAP admissions was greater in the older age groups, constituting 5.5% of those aged \geqslant 50 years, 7.0% of those aged \geqslant 65 years, 9.4% of those aged \geqslant 75 years and 13.8% of those aged \geqslant 85 years. For patients under the ages of 50 and 65 years, the percentages of admissions for CAP were 1.0% and 1.4%, respectively.

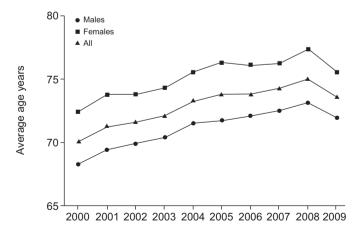
Between the periods 2000–2004 and 2005–2009 there was an increased rate of admission for CAP in all age groups; this was independent of sex but more marked in patients aged >65 years (table 1).

Throughout the 10 years of the study, we observed an increase in the percentage of admissions for CAP in the total admissions; this was particularly marked in the older age groups (fig. 2).

Incidence of admissions for CAP per 1000 total population

Between 2000 and 2009, there was an average annual incidence of 3.61 admissions of adults with CAP per 1000 population. Between the age groups of <50 and \ge 50 years the incidence increased from 0.66 to 7.49. In the age groups <65 and \ge 65 years, the incidence increased from 1.02 to 13.40. From 2000 to 2009, the incidence of admission per 1000 population went up 55.6%, from 3.02 to 4.70 and, in the 5-year periods 2000–2004 and 2005–2009, it increased by 28.2%. With the exception of the 18–29-year-old subjects, this increase was progressive year on year for all the other age groups (table 2).

If we exclude the year 2009 from the analysis, because of the influenza pandemic, we can see that the increase in hospital admissions per 1000 population during the periods 2000–2004 and 2005–2008 was 23.5%; this increase was observed only in the age groups aged \geqslant 50 years.



 Age years

 Males
 68.3
 69.4
 69.9
 70.4
 71.5
 71.7
 72.1
 72.5
 73.1
 72.0

 Females
 72.4
 73.8
 73.8
 74.3
 75.5
 76.3
 76.1
 76.2
 77.3
 75.5

 All
 70.1
 71.3
 71.6
 72.1
 73.3
 73.8
 73.8
 74.2
 75.0
 73.6

FIGURE 1. Evolution of the average age of adult admissions for community-acquired pneumonia by sex and year between 2000 and 2009 in mainland Portugal.

Percentage of admissions for community-acquired pneumonia from total adult admissions by sex and age group in the 5-year periods 2000–2004 and 2005–2009, and 2000–2009 in mainland Portugal

	Period	Age group years %								
		18–29	30–49	50-64	65–74	75–84	>85	Overall		
Males	2000–2004	1.3	2.2	2.7	4.4	8.1	14.6	4.4		
	2005–2009	1.5	2.4	2.9	4.9	9.6	17.3	5.4		
	2000–2009	1.4	2.3	2.8	4.6	8.9	16.2	4.9		
Females	2000–2004	0.3	0.6	1.6	3.2	5.9	11.0	2.5		
	2005-2009	0.4	0.7	1.7	3.5	7.1	13.5	3.3		
	2000-2009	0.4	0.6	1.7	3.3	6.6	12.5	2.9		
Overall	2000-2004	0.6	1.2	2.2	3.8	7.0	12.3	3.3		
	2005-2009	0.6	1.3	2.3	4.2	8.3	15.0	4.2		
	2000–2009	0.6	1.2	2.3	4.0	7.7	13.8	3.7		

The average global admission incidence for CAP was higher for males than females, at 4.21 and 3.07, respectively, per 1000 population (table 2). In those aged >50 years, this figure increased to 9.14 for males and 6.14 for females. For those aged >65 years, it grew to 16.73 for males and 10.64 for females.

Over the 10 years it can be observed that the annual increase in CAP admissions per 1000 population was most noticeable in the group aged ≥ 75 years (fig. 3).

DISCUSSION

The Portuguese Ministry of Health database is essentially administrative. The clinical information is coded from discharge documents; this work is carried out exclusively by medical doctors who have been formally trained in hospital coding to make the process more rigorous. The Ministry of Health carries out regular audits, both internally and externally, of this coding process.

In this study, to exclude patients with complications from nosocomial pneumonia, only those cases with a primary discharge diagnosis of pneumonia were analysed. However, it is likely that some cases of nosocomial pneumonia may have

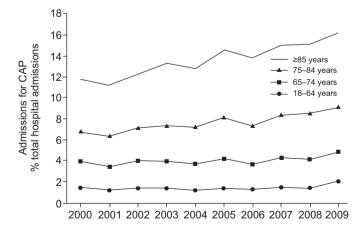


FIGURE 2. Evolution of the annual percentage of admissions for community-acquired pneumonia (CAP) out the total adult admissions by age group between 2000 and 2009 in mainland Portugal.

been included due to coding error or because the database did not allow for the identification of patients re-admitted soon after a recent discharge from hospital. Patients living in nursing homes or in institutions for long-term care could not be identified from the database so it is likely that cases of pneumonia have been included that are healthcare related, particularly among the elderly. However, this concept and its inclusion in nosocomial pneumonia is not universally agreed on and for some authors would not be valid for Europe [14]. The fact that hospitalisation is more accessible and the reluctance to let patients die at home without support may explain the inclusion in the study of elderly patients with end-of-life pneumonia.

In this study, the information about the length of stay in hospital, which could have helped to make the methodology more specific, was not measured [15]. Likewise, there were no data available relating to the vaccination status of hospitalised patients, and it was also not possible to evaluate the impact of comorbidities in hospital admissions. The exclusion of patients infected with HIV, transplant recipients and those admitted for causes external to the disease, such as anticancer treatment or immunosuppressors, could have increased the accuracy of identification of admissions with CAP.

Apart from these limitations, the methodologies are similar to those that have been used in other countries [6, 8, 11, 16]. One advantage of this study is that it covers a period of 10 years, a fact that can minimise the impact of years that deviate from the norm, such as 2009 when the influenza pandemic H1N1 occurred. Official data in Portugal estimate that, in 2009 and 2010, 2400 patients were admitted to hospital as a result of the influenza pandemic A(H1N1) 2009 [17]. Our analysis does not cover the year 2010, and it was not possible to separate out the admissions due to the pandemic that occurred in 2009 from those that occurred in 2010 [17]. It is possible that hospital admissions for the A (H1N1) pandemic could represent up to 0.8% of the total admissions on our database and 6.2% of the admissions in 2009. We do not have information on the average age of patients admitted, but official data document that the 124 deaths caused by the pandemic correspond to a mortality rate of 1.17 deaths per 100 000 population, with an average age at death of 47.6 years [18]. According to these data,



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TABLE 2

Incidence of admission for community-acquired pneumonia per 1000 adult population by age group and sex in the 5-year periods 2000–2004 and 2005–2009, and 2000–2009, and percentage variation during 5-year periods in mainland Portugal

	Period	Age years							
		18–29	30–49	50-64	65–74	75–84	>85	Overall	
Males	2000–2004	0.45	1.05	2.53	7.70	20.83	46.61	3.72	
	2005–2009	0.43	1.06	2.78	8.57	25.88	64.73	4.68	
	2000–2009	0.44	1.06	2.66	8.14	23.53	56.33	4.21	
Females	2000–2004	0.36	0.52	1.27	3.91	11.24	30.24	2.65	
	2005–2009	0.36	0.58	1.36	4.31	13.77	41.69	3.47	
	2000-2009	0.36	0.55	1.32	4.11	12.59	36.41	3.07	
Overall	2000–2004	0.40	0.78	1.87	5.60	15.06	38.15	3.16	
	2005-2009	0.39	0.82	2.04	6.22	18.59	49.25	4.05	
	2000-2009	0.40	0.80	1.96	5.92	16.94	44.30	3.61	
Variation 2000–2004 to 2005–2009 %		-2.5	+5.1	+9.1	+11.1	+23.4	+29.1	+28.2	

it is possible that the influenza pandemic could have had an impact on the increased numbers of hospital admissions that occurred in 2009, particularly in the groups aged <50 years.

In the period from 2000 to 2009, the average age of patients admitted for CAP and the median age were, respectively, 73.1 and 77 years (71.3 and 75 years for males and 75.3 and 79 years for females). The reduction in the average age of patients admitted in 2009 (fig. 1) could have been related to the influenza pandemic. The age factor is patently clear: 89.7% of admissions were aged \geqslant 50 years, 77.8% were \geqslant 65 years, 58.3% were \geqslant 75 years and 23.6% were \geqslant 85 years.

These data are very similar to those that EWIG *et al.* [19] found in Germany between 2005 and 2006. In our study, we also confirmed the impact of age on the increase in admissions for CAP. The average age grew from 70.1 years in 2000 to 73.6 years in 2009, which corresponds to an increase of 5.0% in the average age over a decade. This increase is greater than the increase in average life expectancy in Portugal, which, between 2000 and 2009, changed from 73 to 76 years for males

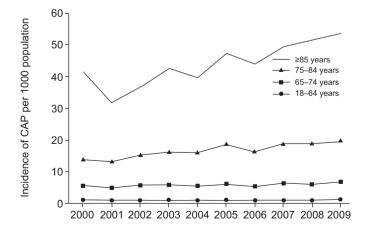


FIGURE 3. Evolution of the annual incidence of admission for community-acquired pneumonia (CAP) per 1000 population by age group between 2000 and 2009 in mainland Portugal.

and from 80 to 82 years for females [20]. The half-year increase in the average age of the older patients, *i.e.* those aged between 75 and 84 years and \geq 85 years, between 2000 and 2009, could be one of the contributing factors to the increased numbers of admissions among these age groups.

As recorded in other recent publications [16, 19, 21] more males (56%) were admitted to hospital than females (44%); the percentages are similar to those in Germany [19].

Between 2000 and 2009, the total number of episodes of hospital admissions for all causes was relatively stable, varying by 3.3% between the two 5-year periods of 2000–2004 and 2005–2009. Admission for CAP represented 3.7% of all admissions. Between 2000 and 2004 (3.3%) and 2005 and 2009 (4.2%), there was an increase of 27.3%. In relation to the period 1998–2000, the increase was 27.6% [11]. These figures confirm the increase in admission for CAP in absolute and percentage numbers.

The increase in percentage of admissions for CAP is equally dependent on age, above all in those aged >65 years, of whom those aged >75 years had the highest percentages. Admission for CAP for patients aged >65 years is five times greater than for those aged <65 years.

For the 10 years of the study, the average annual incidence for hospital admissions for adults with CAP per 1000 population was 3.61. Analysis by age group appears to confirm the importance of age and sex. This value always increased with age, from 7.49 and 13.40 per 1000 population in the age groups \geqslant 50 and \geqslant 65 years, respectively, up to a maximum value of 44.30 for patients aged >85 years. In the same way, it can be seen that the incidence of admission for CAP per 1000 population for the age group \geqslant 65 years is 13 times greater than for the age group \leqslant 65 years. The incidence of admissions was always greater for males than for females in all age groups.

In Portugal, the values for incidence of admission per 1000 population between 2000 and 2009 are much higher than those recorded in the period 1998 to 2000 [11]. These values are also

higher than those found in recent studies in other countries. In Germany, in 2005 and 2006, we found an average value of 2.86 admissions per 1000 adults [19]. In Spain, from 2003 to 2007, the values were 6.27 and 10.29 per 1000 population in the age groups \geqslant 50 years and \geqslant 65 years, respectively [16], as opposed to the 7.49 and 13.40 per 1000 population in our study. In England, in 2004–2005, the age-standardised incidence of hospital admissions with a primary diagnosis of pneumonia was 22.18 per 1000 population in the age group \geqslant 85 years [8]. Even allowing for differences in methodology, given the significant contrast with the figures for Portugal for the \geqslant 85 years age group (44.30 *versus* 22.18), the implication of these figures about the impact of pneumonias at end-of-life in our series must be investigated.

With the exception of the age group 18–29 years, in the rest of the age groups there has been a progressive increase in the incidence of admission for CAP per 1000 population between the 5-year periods of 2000–2004 and 2005–2009, with a global increase of 28.2%. If we exclude the year 2009 from our analysis, because of the influenza pandemic, the incidence of hospital admissions per 1000 population increased by 23.5% in the periods 2000–2004 and 2005–2008 and this increase is only in the groups aged ${\geqslant}50$ years. Excluding the year 2009 confirms that the steady increase of admissions per 1000 population preceded the influenza pandemic and suggests that the pandemic had impacted on the increased of hospital admissions in the groups aged ${<}50$ years.

The percentage of increase of hospital admissions per 1000 population in Portugal is lower than that found in England (34% between 1997 and 1998 and between 2004 and 2005) in an age-standardised study that did not exclude patients who were immunocompromised and did include paediatric patients [8].

In Portugal, there has been a steady increase in the proportion of hospital admissions for CAP. This variation has been growing steadily over time, is increasing with age and is more marked among males. The study did not identify the causes of this increase nor explain the differences to other countries. These differences could be due to any or all of the following: an ageing population, increased comorbidities, greater ease of access to hospital services and inadequate implementation of preventive measures. In Portugal, the official data do not include information about pneumococcal vaccines and show that, in the periods from 1998 to 1999 and 2007 to 2008, the rate of coverage of the anti-flu vaccine in the population in general remained at 14.2–14.5%, but increased from 31.3% to 51.0% for people aged ≥65 years [22].

We do not know whether this increase in the hospital context is matched by an increase in the incidence of the disease in outpatients. We conclude that now is the time to obtain a more accurate characterisation of patients hospitalised and also to reevaluate the global incidence of pneumonia in the community.

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STATEMENT OF INTEREST

None declared.

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