



# Safety and efficacy of exercise training in various forms of pulmonary hypertension

Ekkehard Grünig<sup>\*,+++</sup>, Mona Lichtblau<sup>\*,+++</sup>, Nicola Ehlken<sup>\*</sup>, Hossein A. Ghofrani<sup>#</sup>, Frank Reichenberger<sup>#</sup>, Gerd Staehler<sup>†</sup>, Michael Halank<sup>+</sup>, Christine Fischer<sup>§</sup>, Hans-Jürgen Seyfarth<sup>f</sup>, Hans Klose<sup>\*\*</sup>, Andreas Meyer<sup>###</sup>, Stephan Sorichter<sup>††</sup>, Heinrike Wilkens<sup>++</sup>, Stephan Rosenkranz<sup>§§</sup>, Christian Opitz<sup>ff</sup>, Hanno Leuchte<sup>\*\*\*</sup>, Gabriele Karger<sup>####</sup>, Rudolf Speich<sup>†††</sup> and Christian Nagel<sup>\*</sup>

**ABSTRACT:** The objective of this prospective study was to assess safety and efficacy of exercise training in a large cohort of patients with different forms and World Health Organization (WHO) functional classes of chronic pulmonary hypertension (PH).

183 patients with PH (pulmonary arterial hypertension (PAH), chronic thromboembolic PH and PH due to respiratory or left heart diseases) received exercise training in hospital for 3 weeks and continued at home. Adverse events have been monitored during the in-hospital training programme. Efficacy parameters were evaluated at baseline, and after 3 and 15 weeks.

After 3 and 15 weeks, patients significantly improved the distance walked in 6 min (6MWD) compared to baseline, scores of quality of life, WHO functional class, peak oxygen consumption, oxygen pulse, heart rate and systolic pulmonary artery pressure at rest and maximal workload. The improvement in 6MWD was similar in patients with different PH forms and functional classes. Even in severely affected patients (WHO functional class IV), exercise training was highly effective. Adverse events, such as respiratory infections, syncope or presyncope, occurred in 13% of patients.

Exercise training in PH is an effective but not a completely harmless add-on therapy, even in severely diseased patients, and should be closely monitored.

**KEYWORDS:** Exercise, pulmonary hypertension, rehabilitation programme, safety

Previous studies in rather small cohorts of patients with idiopathic pulmonary arterial hypertension (IPAH) and inoperable chronic thromboembolic pulmonary hypertension (CTEPH) have shown beneficial effects of exercise training as add-on to disease-targeted medical therapy, by increasing exercise capacity [1–3] and quality of life [1]. Exercise training also improved peak oxygen consumption and World Health Organization (WHO) functional class [1], and possibly the clinical outcome, with 1- and 2-yr survival rates of 100 and 95%, respectively [4]. Importantly, no severe adverse events occurred in the previously assessed training groups. The improvement in 6-min walk distance (6MWD), reached after 15 weeks' exercise training was unexpectedly high with a mean  $\pm$  SD increase of  $96 \pm 61$  m [1] and of  $84 \pm 49$  m, respectively [4]. However, training studies cannot be performed in a blinded design and a selection bias towards highly motivated patients cannot be excluded

[1, 4]. Other studies that applied a less intense outpatient training programme in small cohorts of pulmonary arterial hypertension (PAH) patients did reveal a less pronounced [3], or even no significant [2, 5], improvement in 6MWD or peak oxygen consumption, but an improvement in exercise endurance [2, 5] and muscle capillarisation [2]. The effect of training in patients with other aetiologies of pulmonary hypertension (PH), such as associated PAH (APAH) or out-of-proportion PH with left heart failure or respiratory diseases, has not yet been evaluated. Furthermore, it has never been evaluated whether training programmes may be harmful, especially for patients with severe PH and right heart failure, classified in WHO functional class IV. In these patients with end-stage PH, prognostic relevant effects of medical treatment are usually very limited and it would be of interest if such patients may benefit from a careful, low-dose and closely monitored exercise training as

## AFFILIATIONS

<sup>\*</sup>Centre for Pulmonary Hypertension at Thoraxclinic Heidelberg, University of Heidelberg,

<sup>§</sup>Dept of Human Genetics, University of Heidelberg,

<sup>###</sup>Clinic of Rehabilitation Königstuhl, Heidelberg,

<sup>#</sup>Dept of Pneumology, University of Giessen, Giessen,

<sup>†</sup>Clinic Löwenstein, Löwenstein,

<sup>+</sup>Dept of Pneumology, University of Dresden, Dresden,

<sup>f</sup>Dept of Pneumology, University of Leipzig, Leipzig,

<sup>\*\*</sup>Dept of Pneumology, University of Hamburg, Hamburg,

<sup>###</sup>Clinic Mönchengladbach, Mönchengladbach,

<sup>††</sup>Dept of Pneumology, University of Freiburg, Freiburg,

<sup>++</sup>Dept of Pneumology, University of Homburg, Homburg,

<sup>§§</sup>Department of Cardiology, University Köln, Köln,

<sup>ff</sup>DRK Clinic Berlin Köpenick, Berlin, and

<sup>\*\*\*</sup>Clinic Neuwittelsbach, Munich, Germany.

<sup>†††</sup>Centre for PH, University Hospital, Zurich, Switzerland.

<sup>+++</sup>These authors made an equal contribution to the study.

## CORRESPONDENCE

E. Grünig, Centre for Pulmonary Hypertension, Thoraxclinic, University Hospital Heidelberg, Amalienstrasse 5, D-69126 Heidelberg, Germany  
E-mail: ekkehard.gruenig@thoraxklinik-heidelberg.de

Received:

July 21 2011

Accepted after revision:

Oct 25 2011

First published online:

Feb 09 2012

European Respiratory Journal

Print ISSN 0903-1936

Online ISSN 1399-3003

For editorial comments see page 7.

well. Safety is an important concern in these severely affected patients, particularly since they would be advised to continue exercise training. PH patients sometimes experience presyncope and syncope immediately after exercise. The incidence of sudden cardiac death due to exercise is unknown, but probably quite low for mild to moderate exercise. However, it is at least a common clinical experience that heavy exercise can be dangerous in PH. In an animal model, exercise training significantly reduced the survival of those rats that received higher monocrotaline dosage representing a progressive form of PH [6]. Thus, exercise training in PH may possibly be both a useful and effective additive therapy to disease-targeted medication in some patients, and a dangerous procedure with severe side-effects, including the risk of syncope or even sudden cardiac death, in others. Therefore, the aim of this study was to prospectively assess the effects of exercise training in a large cohort of patients with severe chronic PH of different aetiologies and functional classes, including end-stage disease with WHO functional class IV. We wanted to monitor the rate of adverse events during the 3-week in-hospital exercise training and to identify patients at risk for adverse events and/or for a non-response to training.

## METHODS

### Study population and design

This prospective study investigated patients with severe chronic PH who received exercise and respiratory training as add-on to disease-targeted medication between January 2005 and October 2010. The study patients belong to a new cohort, details of which have not been published before. Further inclusion criteria were age 18–80 yrs and WHO functional class II–IV [7]. Patients had to be stable and compensated with optimised medical therapy (such as endothelin antagonists, inhaled or parenteral prostanooids, sildenafil, anticoagulants, diuretics and supplemental oxygen) for at least 2 months before entering the study. Six patients who were newly diagnosed with PH had an interval of 2–6 months between initiation of a new PH-targeted medical treatment and the start of exercise training, all other newly diagnosed patients had an interval of >6 months. All patients in WHO functional class IV had been under maximal medical PH-targeted therapy and were stable even though they were at an unsatisfactory clinical stage.

The diagnosis was established at the participating PH centres according to current guidelines [8]. All patients underwent a detailed clinical work-up, including right heart catheterisation. Left heart catheterisation and/or computed tomography of the lungs were performed in all patients with PH due to left heart or respiratory diseases and when clinically indicated. All patients gave written informed consent for this study, which was approved by the ethics committee of the University of Heidelberg (Heidelberg, Germany).

### Outcome measures

Efficacy parameters were prospectively evaluated at baseline, week 3 and week 15, as described previously [1, 4]. 6MWD was carried out under standardised conditions [9]. Health-related quality of life assessment was performed by the Short Form Health Survey (SF-36) [10]. The completed SF-36 questionnaire at baseline was compared to the results after 15 weeks. Assessment of 6MWD, SF-36, and of other efficacy parameters were

performed by investigators who were blinded to the clinical data. Changes in WHO functional class, Borg dyspnoea index (with 6 representing no exertion and 20 maximal exertion) [11], and gas exchange were also analysed [12]. Cardiopulmonary exercise testing and stress Doppler echocardiography were carried out during supine bicycle exercise without oxygen supply as described previously [1]. Systolic pulmonary artery pressure (PASP), systolic and diastolic systemic blood pressures, workload, heart rate, ventilation, oxygen uptake ( $V'O_2$ ), oxygen pulse ( $V'O_2$ /heart rate), and carbon dioxide output were measured continuously. The anaerobic threshold was detected with the V-slope method [12].

### Exercise training programme

The exercise and respiratory training was performed as described previously [1, 4] in the Rehabilitation Clinic Königstuhl in Heidelberg. We performed a programme especially developed for PH patients, with  $\geq 1.5$  h per day exercise training (in intervals distributed over the day) consisting of interval bicycle ergometer training at low workloads (10–60 W) at 7 days a week, walking, dumb-bell training of single muscle groups using low weights (500–1,000 g) and respiratory training at 5 days per week. Maximum heart rate during the training corresponded to 60–80% of the heart rate reached during cardiopulmonary exercise testing. Oxygen saturation had to be kept above 85%. The training was continued with  $\geq 30$  min per day at 5 days a week at home for the following 15 weeks.

Beside the physical training, patients received mental training to improve their perception of individual physical abilities and limits. Psychological support was offered to all participants. The training programme was closely supervised by physical therapists; physicians specialised in rehabilitation medicine and PH experts, as described previously [1]. Adverse events were recorded whenever they occurred. Oxygen saturation and heart rate were monitored continuously throughout the training and used to adjust the training intensity. When patients' oxygen saturation fell below 90% during exercise they received supplemental oxygen ( $3\text{--}10\text{ L}\cdot\text{min}^{-1}$ ) throughout the training. 80 patients who were on long-term oxygen therapy (for 16–24 h per day) before inclusion in this study remained on oxygen throughout the training programme. At discharge from the hospital after 3 weeks, patients received an individualised training manual and ordered a bicycle ergometer for use at home.

### Statistical methods

The analyses were performed by a statistician (C. Fischer). Data are given as mean  $\pm$  SD. The intra-group comparisons of baseline and weeks 3 and 15 for 6MWD, workload, Borg dyspnoea index, parameters of gas exchange, PASP, systemic blood pressure and heart rate were conducted by paired *t*-tests, and the McNemar test for WHO functional class. Summation and subscores of the SF-36 questionnaire were compared by ANOVA, two-tailed *t*-test, and the Kruskal–Wallis test was used for the comparison of the per cent changes of the 6MWD among WHO functional class. All tests were two-sided and *p*-values  $< 0.05$  were considered statistically significant. Bonferroni adjustment for multiple comparisons was performed for comparisons of the primary end-points 6MWD and quality of life parameters. All analyses were carried out with IBM SPSS V19 (IBM Corp., Armonk, NY, USA).

Efficacy parameters were available for statistical analysis at baseline and after 3 weeks in all patients. Since no adverse events or deaths occurred between week 3 and week 15, we used the last observation (after 3 weeks) carried forward technique as a substitution rule for dropouts. To analyse the characteristics of dropouts and the consequences for the results, we compared the baseline and week 3 data of patients who did not come to the 15-week visit with those of the patients who completed the study.

## RESULTS

### Study population

We included 194 patients in the study; 11 (5.6%) had to be excluded and did not start the rehabilitation programme due to the following reasons. In one patient walking distance was mainly impaired due to peripheral artery occlusive disease, he was referred to further treatment; two patients discontinued due to familial problems; one patient was not included due to severe methicillin-resistant *Staphylococcus aureus* infection; one patient could not participate due to an acute peroneal palsy after a fall; six patients had to be excluded before entering the exercise training programme because of a clinical instable course and the necessity of additional PH-targeted medication at baseline. Thus, the final study group consisted of 183 patients: 87 patients (47.6%) with IPAH or heritable PAH, 46 patients (25.1%) with APAH, 10.4% with “out-of-proportion” PH due to left heart or lung diseases and 31 patients (16.9%) with inoperable CTEPH (table 1). Out of proportion PH in left heart disease and lung disease were defined due to current guidelines [8, 13].

The APAH subgroup consisted mainly of 19 patients with connective tissue disease (CTD-APAH; 41%) and 15 patients with congenital heart defect (CHD-APAH; 33%) (table 1). The patients had been referred from 12 centres. Demographic data, diagnosis, functional class, 6MWD and haemodynamic values are summarised in table 1. At baseline, most patients (74.9%) were in WHO class III, 18 patients (9.8%) were classified in WHO functional class IV. Combination therapies, including two to four PH-targeted agents, were used in 50.8% of patients (table 2).

### Assessment of training effects

Compared to baseline, mean  $\pm$  SD 6MWD increased significantly after 3 ( $68 \pm 46$  m;  $p < 0.001$ ) and 15 weeks ( $78 \pm 49.5$  m;  $p < 0.001$ ) (fig. 1 and table 3). The improvement in 6MWD was similar in the patients with IPAH/hereditary pulmonary arterial hypertension (HPAH), APAH, inoperable CTEPH or out-of proportion PH in left heart or lung diseases (fig. 2). Patients with APAH had a tendency to a lower improvement of 6MWD as compared to the IPAH/HPAH patients ( $85 \pm 49$  versus  $56 \pm 49$  m;  $p = 0.086$ ). The patients with inoperable CTEPH showed an improvement in 6MWD of  $65 \pm 48$  m after 3 weeks ( $p < 0.001$ ) and of  $85 \pm 50$  m after 15 weeks ( $p < 0.001$ ) (table 3 and fig. 2).

The improvement in 6MWD was consistent among different WHO classes (fig. 3). The mean increase in 6MWD compared to baseline in patients in WHO functional class IV was  $59 \pm 51$  m after 3 weeks and  $63 \pm 61$  m after 15 weeks (table 3, fig. 3). Compared to WHO functional class II or III patients, the per cent increase in walking distance was even more pronounced in the WHO functional class IV patients, although

**TABLE 1** Baseline characteristics of the patients

<b>Patients</b>	183
<b>Sex females/males</b>	126/57
<b>Age yrs</b>	53 $\pm$ 15
<b>Height cm</b>	168 $\pm$ 9
<b>Weight kg</b>	75 $\pm$ 17
<b>WHO functional class</b>	
I	2 (1.1)
II	26 (14.2)
III	137 (74.9)
IV	18 (9.8)
<b>Cause of pulmonary hypertension</b>	
IPAH	83 (45.4)
HPAH	4 (2.2)
APAH	46 (25.1)
Drug or toxin induced	3
Connective tissue disease	19
HIV	4
Portal hypertension	5
Congenital heart defect	15
PH due to left heart disease	8 (4.4)
PH due to lung disease	11 (6.0)
Obstructive lung disease	2
Restrictive lung disease	8
Sleep apnoea syndrome	1
CTEPH	31 (16.9)
<b>Walking distance at 6 min m</b>	425 $\pm$ 106
<b>Cardiac catheterisation</b>	
Pulmonary artery pressure mmHg	49 $\pm$ 16
Pulmonary vascular resistance dyn·s·cm <sup>-5</sup>	806 $\pm$ 405
Right atrial pressure mmHg	7 $\pm$ 7
Arterial oxygen saturation %	92 $\pm$ 5
PCWP mmHg	9 $\pm$ 5
Cardiac output L·min <sup>-1</sup>	4.5 $\pm$ 1.3
Cardiac index L·min <sup>-1</sup> ·m <sup>-2</sup>	2.4 $\pm$ 0.6

Data are presented as n, n (%) or mean  $\pm$  SD. WHO: World Health Organization; IPAH: idiopathic pulmonary arterial hypertension; HPAH: heritable pulmonary arterial hypertension; APAH: associated pulmonary arterial hypertension; PH: pulmonary hypertension; CTEPH: chronic thromboembolic pulmonary hypertension; PCWP: pulmonary capillary wedge pressure.

this difference did not reach statistical significance (table 3). There was a significant improvement in WHO functional class. After 3 weeks exercise training, 22% of the WHO functional class IV patients improved to functional class III ( $p < 0.001$ ) and 6% of WHO functional class III to II.

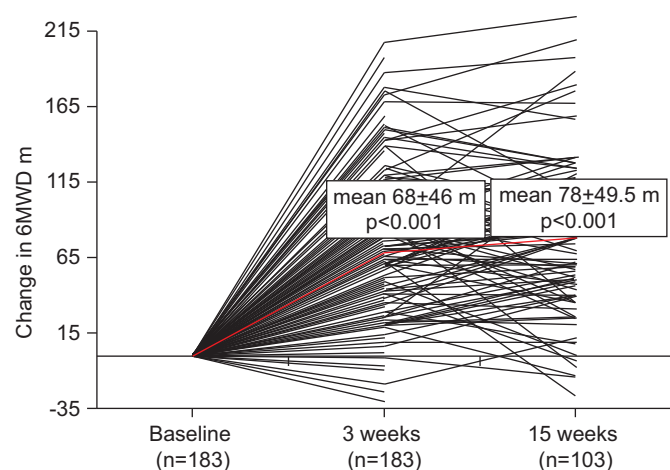
All results remained statistically significant when using the last observation (after 3 weeks) carried forward method. Comparing the patients who completed the study with patients who did not come to the last visit after 15 weeks, dropouts had a higher mean body mass index at baseline and improved significantly but  $\sim$ 10% less in 6MWD at 3 weeks than patients who completed the study ( $69$  versus  $76$  m, respectively;  $p < 0.05$ ).

Mean peak oxygen consumption, peak oxygen uptake in per cent of the predicted value, and mean peak oxygen pulse of all

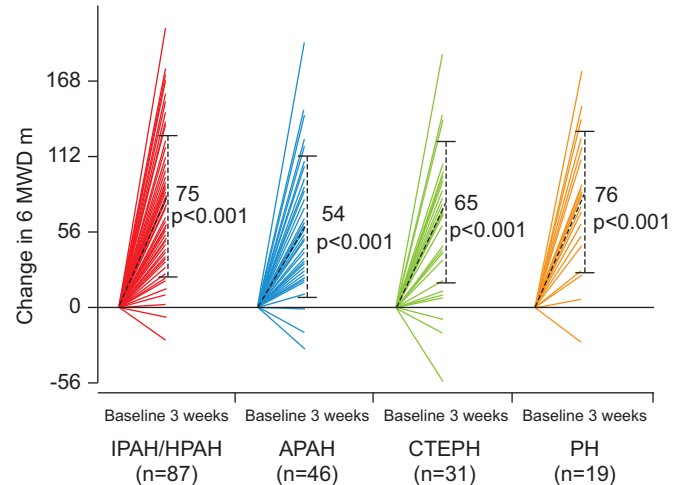
TABLE 2 Pulmonary hypertension (PH)-targeted medication	
<b>Endothelin receptor antagonists</b>	108 (59)
<b>Phosphodiesterase-5 inhibitors</b>	107 (58.5)
<b>Prostanoids inhaled</b>	32 (17.5)
<b>Calcium channel blockers</b>	20 (10.9)
<b>Prostanoids <i>i.v.</i></b>	11 (6.0)
<b>Soluble guanyl cyclase stimulator</b>	5 (2.7)
<b>Imatinib</b>	2 (1.1)
<b>Combination therapy</b>	
No PH-targeted medication <sup>#</sup>	10 (5.5)
Mono	80 (43.7)
Dual	71 (38.8)
Triple	21 (11.5)
Quadruple	1 (0.5)

Data are presented as n (%). <sup>#</sup>: in patients with PH due to left heart disease or lung disease.

patients increased significantly from baseline to 3 weeks and to 15 weeks (table 3). The Borg scale remained unchanged although significantly higher workloads and higher heart rates during exercise were attained (table 3). After 3 weeks of exercise training, mean heart rate, mean diastolic blood pressure and mean systolic pulmonary artery pressure at rest were significantly reduced (table 3). Differences in the heart rate after 15 weeks could be influenced by the time point obtaining the ECGs. ECGs after 15 weeks were performed right after the patients had travelled to the hospital, usually at noon or afternoon, ECGs at baseline and after 3 weeks had been performed in the morning in patients who stayed in the hospital. It is possible that the higher stress coming to this examination and the later time within the day led to a higher mean heart rate at the 15-week visit.

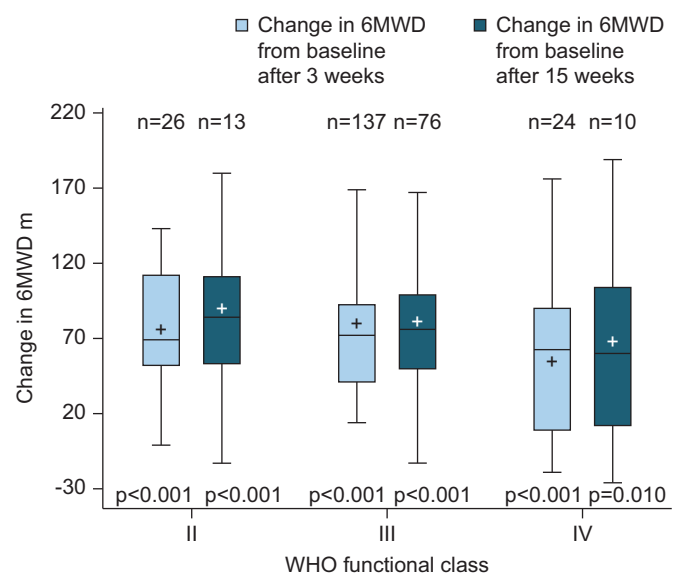


**FIGURE 1.** Individual changes in 6-min walk distance (6MWD) after 3 and 15 weeks exercise training. With the use of a two-sample t-test stratified according to baseline walking distance,  $p < 0.001$  was obtained for the comparison to baseline with week 3 and week 15. In this intention-to-treat analysis after 3 weeks of exercise training the data from 183 patients, after 15 weeks from 103 patients, were available and included. The coloured line indicates the mean change from baseline in 6MWD.



**FIGURE 2.** Individual changes in the 6-min walk distance (6MWD) in pulmonary hypertension subgroups with different aetiologies from baseline to week 3 and 15. IPAH: idiopathic pulmonary arterial hypertension; HPAH: hereditary pulmonary arterial hypertension; APAH: associated pulmonary arterial hypertension; CTEPH: chronic thromboembolic pulmonary hypertension; PH: pulmonary hypertension due to respiratory or left heart diseases. The change in 6MWD was not significantly different between the subgroups ( $p = 0.086$ ). The dashed line indicates the mean change in 6MWD from baseline with 95% confidence intervals.

Furthermore, exercise and respiratory training significantly improved quality of life parameters indicated by the SF-subscale scores for: physical functioning ( $p < 0.001$ ), role physical ( $p = 0.001$ ), role emotional ( $p = 0.005$ ), social functioning ( $p = 0.037$ ), mental health ( $p = 0.006$ ) and vitality ( $p = 0.002$ ; fig. 4; table 3). Using Bonferroni adjustment,  $p$ -values  $< 0.016$  (6MWD) and  $< 0.005$  (SF-36) remained statistically significant.



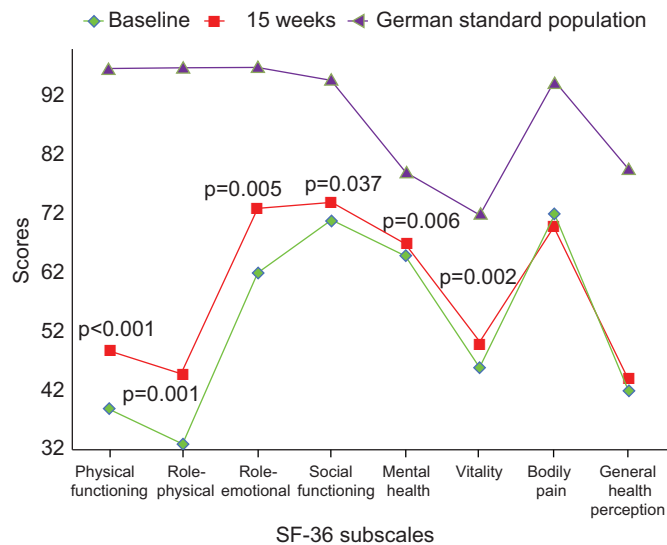
**FIGURE 3.** Change in 6-min walk distance (6MWD) after 3 and 15 weeks according to World Health Organization (WHO) functional class, indicated as mean (+), median and interquartile range (box), and minimum and maximum values.



**TABLE 3** Efficacy parameters

Characteristics	Baseline		3 weeks			15 weeks				
	Mean ± SD	95% CI	Mean ± SD	Median	95% CI	p-value	Mean ± SD	Median	95% CI	p-value
<b>Subjects n</b>	183		183				103			
<b>6MWD by PH aetiology m</b>										
All	425 ± 106	410–441	493 ± 110		478–510	<0.001	506 ± 104		486–528	<0.001
IPAH/HPAH (n=87/87/53)	448 ± 89	429–467	523 ± 89		503–542	<0.001	543 ± 74		522–563	<0.001
APAH (n=46/46/18)	427 ± 113	393–461	482 ± 123		445–518	<0.001	454 ± 132		392–516	<0.001
CTEPH (n=31/31/18)	417 ± 110	377–457	482 ± 126		436–528	<0.001	512 ± 81		473–551	0.001
PH (n=19/19/11)	327 ± 100	279–375	403 ± 86		362–444	<0.001	407 ± 118		328–486	<0.001
<b>Difference from baseline 6MWD by PH aetiology m</b>										
All			68 ± 46		61–75	<0.001	78 ± 49.5		68–88	<0.001
IPAH/HPAH (n=87/53)			75 ± 43		69–92	<0.001	85 ± 48.9		71–98	<0.001
APAH (n=46/18)			54 ± 44		36–80	<0.001	56 ± 48.8		32–80	<0.001
CTEPH (n=31/18)			65 ± 48		52–100	<0.001	85 ± 49.8		60–110	0.001
PH (n=19/11)			76 ± 55		44–118	<0.001	72 ± 48		41–105	<0.001
<b>6MWD in WHO-FC subgroups m</b>										
WHO-FC II (n=26/26/13)	507 ± 100	466–548	572 ± 100		532–613	<0.001	588 ± 57		554–623	<0.001
WHO-FC III (n=137/137/76)	424 ± 86	410–439	494 ± 93		478–510	<0.001	511 ± 85		492–530	<0.001
WHO-FC IV (n=18/18/10)	239 ± 95	190–291	298 ± 90		253–342	<0.001	287 ± 108		209–364	0.010
<b>Difference from baseline 6MWD in WHO-FC subgroups m</b>										
All (n=183/103)			68 ± 46		61–75	<0.001	78 ± 50		68–88	<0.001
WHO-FC II (n=26/13)			65 ± 41		49–82	<0.001	85 ± 53		53–117	<0.001
WHO-FC III (n=137/76)			70 ± 46		62–78	<0.001	79 ± 48		68–90	<0.001
WHO-FC IV (n=18/10)			59 ± 51		33–84	<0.001	63 ± 61		19–107	0.010
<b>Improvement in 6MWD in WHO-FC subgroups %</b>										
WHO-FC II (n=26/13)			14.3 ± 11.1	12.9	9.8–18.7	<0.001	18.5 ± 14.1	16.1	10.0–27.0	<0.001
WHO-FC III (n=137/76)			17.5 ± 13.5	14.7	15.2–20.0	<0.001	19.3 ± 13.3	14.6	16.3–22.4	<0.001
WHO-FC IV (n=18/10)			25.0 ± 27.0	17.4	11.5–38.4	<0.001	26.3 ± 30.1	17.8	4.8–47.8	0.010
<b>Cardiopulmonary exercise testing</b>										
Peak V <sub>O</sub> <sub>2</sub> per kg mL·min <sup>-1</sup> ·kg <sup>-1</sup>	12.2 ± 3.5		13.6 ± 4			<0.001	13.9 ± 3.8			<0.001
Peak V <sub>O</sub> <sub>2</sub> mL·min <sup>-1</sup>	907 ± 276		1006 ± 308			<0.001	1011 ± 292			<0.001
EqCO <sub>2</sub> at AT	45.2 ± 9.6		43.6 ± 10.6			0.249	44.3 ± 10.8			0.225
V <sub>O</sub> <sub>2</sub> at AT mL·min <sup>-1</sup>	692 ± 214		712 ± 236			0.216	790 ± 261			0.007
Oxygen pulse	7.5 ± 2.1		7.9 ± 2.4			<0.001	7.7 ± 2.4			0.001
PASP rest mmHg	59 ± 20		57 ± 20			0.028	56 ± 20			0.016
PASP maximum mmHg	91 ± 26		91 ± 26			0.542	95 ± 26			0.512
Heart rate rest min <sup>-1</sup>	77 ± 13		74 ± 11			<0.001	80 ± 13			0.009
Heart rate maximum min <sup>-1</sup>	122 ± 19		127 ± 22			<0.001	133 ± 20			<0.001
RRsys rest mmHg	117 ± 17		114 ± 15			0.016	113 ± 14			0.019
RRdia rest mmHg	78 ± 14		75 ± 9			<0.001	77 ± 9			0.128
RRsys maximum mmHg	150 ± 26		151 ± 24			0.615	150 ± 24			0.719
RRdia maximum mmHg	86 ± 14		86 ± 12			0.617	87 ± 12			0.667
Borg scale	15.6 ± 2		15.6 ± 2			0.896	15.7 ± 2			0.100
Workload maximum W	64 ± 24		77 ± 28			<0.001	80 ± 27			<0.001

6MWD: 6-min walk distance; IPAH: idiopathic pulmonary arterial hypertension; HPAH: heritable pulmonary arterial hypertension; APAH: associated pulmonary arterial hypertension; CTEPH: chronic thromboembolic pulmonary hypertension; PH: pulmonary hypertension; WHO: World Health Organization; FC: functional class; V<sub>O</sub><sub>2</sub>: oxygen uptake; EqCO<sub>2</sub>: respiratory equivalence for carbon dioxide; AT: anaerobic threshold; PASP: systolic pulmonary artery pressure; RRsys: systolic systemic blood pressure; RRdia: diastolic systemic blood pressure. p-values are the same for absolute values and differences in 6MWD; Kruskal Wallis test showed no significance in intergroup comparison, p=0.446 (3 weeks) and p=0.993 (15 weeks). p-values for 6MWD from two-sided t-test, for cardiopulmonary exercise testing from Wilcoxon test.



**FIGURE 4.** Mean Short Form Health Survey (SF-36) scores of quality of life subscales at baseline and after 15 weeks of exercise training. At baseline (green line), mean SF-36 scores were significantly reduced in comparison to respective values of a normal population (purple line). After 15 weeks (red line), six scales of the SF-36 questionnaire improved significantly: physical functioning, role physical, role emotional, social functioning, mental health and vitality. p-values are indicated versus baseline. No significant improvement was found for bodily pain or general health perception after 15 weeks of exercise training. With Bonferroni adjustment, values of  $p < 0.05$  preserve statistical significance. At baseline data from 183 patients, and after 15 weeks from 103 patients, were available and included.

### Non-responders

Non-responders have been defined as patients who did not improve 6MWD after 3 or 15 weeks' exercise training by  $>5\%$  compared to baseline or patients with a decrease in 6MWD. 26 of the 183 patients (14%) were classified as non-responders. The reasons for non-effective rehabilitation were severe respiratory tract infections ( $n=4$ ) and orthopaedic problems (swelling of the knee due to knee arthrosis,  $n=4$ ) leading to non-adherence to the training programme. In six additional patients, mental disorders, such as depression and anxiety, were diagnosed, and psychopharmacological treatment was required. 11 of the 26 non-responders had no significant improvement in their 6MWD after 3 weeks, although they participated in the training programme. One patient had performed training before entering the study at home and reported that the training intensity was possibly too low within the 3 weeks in-hospital rehabilitation. Eight of the remaining 10 non-responders had a 6MWD of  $>550$  m at baseline, indicating that the programme is less effective in patients who already have a near to normal 6MWD. Nevertheless, several of these non-responders, who did not improve during the in-hospital stay due to the reasons mentioned above, improved their 6MWD after 15 weeks' exercise training at home. Their increase in quality of life parameters was not significantly different to the "responding" patients indicating, that they did not achieve a significant increase in 6MWD but in quality of life scores. No significant difference between non-responders and the other patients could be found in any parameter listed in table 3. Distribution

of WHO functional class, medication and adverse events were similar in the 26 non-responders and the other patients.

### Safety during 3-week in-hospital training

During the 3-week in-hospital training 25 patients (13.6%) had adverse events. Two patients had a syncope, which occurred not during the exercise training itself but a few hours later. In one patient the syncope occurred when standing up at night after she had developed a severe respiratory infection. In one patient a presyncope occurred immediately after the end of the bicycle ergometer training and was therefore most likely linked to the intensity of the exercise training programme. Five other patients experienced a presyncope not directly associated with the exercise training programme. 14 patients had to discontinue the training programme for 1–2 weeks due to an acute respiratory infection, 12 of them needed antibiotic therapy. Two patients were diagnosed with episodes of supraventricular tachycardia that occurred during the exercise training and were self-limiting. One patient had an episode of slight haemoptysis, which was most likely related to a respiratory infection, but did not occur again following successful antibiotic therapy so she continued the programme. All other patients tolerated the exercise training well. There were no signs of clinical worsening of right heart failure detectable with the non-invasive examinations during the in-hospital programme. All patients reported that they had improved their awareness of their physical abilities and limitations. No further severe adverse events have been occurred between the visit after 3 weeks and the last visit after 15 weeks, where the patients continued with the exercise training at home.

### DISCUSSION

This is the first prospective clinical trial investigating the efficacy and safety of respiratory and exercise training in a large cohort of patients with various aetiologies of chronic PH, such as CTD-APAH, CHD-APAH, PH due to respiratory or left heart diseases and inoperable CTEPH. Furthermore, the study shows that exercise training can improve prognostic relevant parameters even in patients classified as WHO functional class IV. Quality of life, 6MWD, peak oxygen consumption, WHO functional class, exercise capacity, oxygen pulse and other parameters of cardiopulmonary exercise testing, significantly improved after 3 and 15 weeks compared with baseline in all PH and WHO functional class subgroups.

During the first 3 weeks of the in-hospital rehabilitation programme, adverse events occurred in 13.6% of patients, severe events in 4.4% including syncopes in two and presyncopes in six patients. Thus, the results of this study indicate that exercise training in PH is an effective, but not a completely harmless add-on therapy and should carefully be supervised and closely monitored.

The study confirms the findings of previous studies in smaller patient cohorts demonstrating a beneficial effect of exercise training in patients with PAH [1–5]. The magnitude of these effects may vary with different physical therapy regimens and with the condition of the patient at the beginning of rehabilitation. The study suggests that the effect of exercise training is somewhat independent of the aetiology of PH. Even in those subgroups of patients usually responding less well to PH-targeted medication when compared to IPAH, like CTD-APAH

[14], CHD-APAH [15–17] and inoperable CTEPH [18], exercise training markedly improved 6MWD, oxygen consumption and quality of life. In patients with inoperable CTEPH, exercise training may become a new therapeutic option as add-on to medical therapy if this can be proven in further randomised controlled trials.

#### **Exercise training in PAH associated with congenital heart defects**

Data on exercise training in CHD-APAH are lacking, except one study of two patients with Eisenmenger's syndrome and two with congenital heart disease that performed low grade exercise training twice a week for 3 months. This study revealed no adverse events, but no objectively measurable improvement [19]. The 36th Bethesda conference recommended patients with congenital heart disease to perform sport only when pulmonary artery pressures are normal [20]. In our study exercise training was safe and effective in these patients although all of them had largely elevated pulmonary artery pressures. Nevertheless, exercise training in patients with CHD-APAH may address special needs and pathophysiological circumstances. Patients with congenital heart disease often tend to overestimate their actual exercise capacity [21], which has been addressed in our programme by offering mental training. Severe progressive cyanosis during exercise in CHD-APAH patients may impair the positive effects of training or even increase the risk for adverse events. Therefore, we tested the possible effect of high-flow oxygen supply in all cyanotic patients.

#### **Exercise training in patients with connective tissue disease**

In patients with CTD-APAH the effect of medication therapy on 6MWD was significantly lower than in IPAH patients [14], possibly due to existing comorbidities, such as concomitant musculoskeletal problems, which we addressed in our programme by increased physiotherapy. Therefore, in our study, exercise training in CTD-APAH was as effective as in patients with IPAH or other forms of PH.

#### **Effect of exercise training in patients classified in WHO functional class IV**

Another interesting finding was the high beneficial effect of exercise training in the most severely impaired patients classified in WHO functional class IV. These patients usually have very limited medical options [8] and, even with combination therapy, a severely impaired quality of life and survival rate [22]. Our data suggest that the more patients have been impaired in their physical abilities at baseline, the better the effect of exercise training in improving 6MWD. The incidence of adverse events was not higher, when compared to the patients in WHO functional class II and III. However, these results need to be interpreted with caution, due to the quite small number of patients (n=18) in this group. Further studies may clarify whether exercise training improves time to clinical worsening and survival in patients with PH in WHO functional class IV.

#### **Adverse events and non-responders**

The overall rate of severe acute adverse events occurred during the hospital stay (two syncopes, six presyncopes) and has been quite low (4.34%) and is comparable or even lower than that of

patients in rehabilitation programmes for left heart failure [23]. However, this study documented the potential for acute adverse events occurring during the first 3 weeks of in-hospital rehabilitation programme. We cannot exclude that further or more severe adverse events occur when the exercise training is continued at home. Exercise training should definitely not be initiated in a non-supervised and not adequately monitored setting. The patients need to be trained how to perform safe exercise training at home.

The analysis of patients who were not able to improve their 6MWD during the 3-week in-hospital training (14.2% of the 183 patients cohort) did not reveal any differences in the assessed clinical parameters when compared to the 85.8% of patients who did improve. Most often the mechanism of non-response was simply the inability to perform any training within the 3-week in-hospital stay, due to varying reasons. Furthermore, patients with a 6MWD >550 m improved less than patients with impaired 6MWD at baseline. Obviously, training is only effective if it is adequately performed and if there is a limited initial physical capacity. Nevertheless, non-responder patients may have improved by other parameters (such as quality of life and haemodynamic parameters) than in the 6MWD. In a previous study, changes of functional class and haemodynamic indices were more sensitive in detecting treatment effects of PAH-targeted medication [24].

#### **Clinical and pathophysiological mechanism of action**

The precise mechanism through which exercise-based interventions benefit PH patients remains unclear. Besides improving endothelial dysfunction, muscular blood supply, gas exchange and strength [2, 25], ventilatory efficiency, and ventricular contractility [26] as described in left heart failure [27], exercise training may reduce adrenergic tone and increase vagal tone. After 3 weeks, heart rate at rest, peak oxygen consumption and oxygen pulse all significantly improved in PH patients of this cohort. Further studies including right heart catheterisation are necessary, *e.g.* to analyse whether exercise training improves right ventricular contractile reserve. In this study we performed a combined strength and endurance training programme, which has been described as the optimal exercise prescription in left heart failure [28].

#### **Limitations**

Although we believe this to be the largest prospective study analysing the impact of exercise training in patients with pulmonary hypertension, we acknowledge a number of limitations. The study had no randomised control group and was conducted by a single centre. Our data provide a good rationale for future studies of exercise training in PH patients and assessed this therapy in various aetiologies of PH for the first time. However, a large controlled randomised, multi-centric study should be performed to confirm these results, especially in patients with inoperable CTEPH, CTD-APAH, CHD-APAH and in patients in WHO functional class IV. The effects of exercise training after 15 weeks may be overestimated due to the missing values of ~40% of patients who did not perform the last follow-up visit and who gained ~10% less in 6MWD after 3 weeks than the others. Unfortunately, we did not have funding to provide transportation for patients who lived >400 km from Heidelberg and, therefore, often

missed the last visit. Analysis of the data of the participating centres showed that there was no dropout due to side-effects of the training or due to deaths. In addition, we cannot exclude that patients who had a less pronounced training effect may have been less willing to perform the last visit. Furthermore, it is a general issue of rehabilitation programmes that the therapy cannot be performed in a blinded fashion and that a referral bias towards highly motivated patients with a better outcome may occur.

Baseline 6MWD in our cohort of class IV patients was higher than previously reported [29]. We cannot exclude that patients with less exercise limitations have been selected. However, higher values for 6MWD at baseline could, at least in part, be due to the fact that the test was performed in a large sports hall, in which the patients could walk without any time delay by turns or stops, and that some patients of younger age had a comparatively high 6MWD, despite being in WHO IV, as described previously [24].

Further studies are necessary to determine the effects of training programmes on outcome in patients with pulmonary hypertension.

### Conclusion

The results of this study suggest that low-dose exercise and respiratory training as add-on to medical therapy is safe in a closely supervised setting and may improve exercise capacity and quality of life in patients with various forms of PH. Further randomised trials are required to determine whether there is any survival benefit.

### SUPPORT STATEMENT

The study was funded by a grant from the German pulmonary hypertension association: pulmonale hypertonie (ph) e.v. foundation (Rheinstetten, Germany).

### STATEMENT OF INTEREST

None declared.

### ACKNOWLEDGEMENTS

We would like to thank all patients who participated, the self-help group pulmonale hypertonie e.v. (Rheinstetten, Germany,) and especially B. Kopp, for their support. We are deeply grateful for the support of the clinic director K-H. Markmann, all physicians, therapists and physiotherapists of the Rehabilitation Clinic Königstuhl (Heidelberg, Germany) who took part in the rehabilitation programmes.

### REFERENCES

- Mereles D, Ehlken N, Kreuzer S, *et al.* Exercise and respiratory training improve exercise capacity and quality of life in patients with severe chronic pulmonary hypertension. *Circulation* 2006; 114: 1482–1489.
- de Man FS, Handoko ML, Groepenhoff H, *et al.* Effects of exercise training in patients with idiopathic pulmonary arterial hypertension. *Eur Respir J* 2009; 34: 669–675.
- Wilkinson A, Elliot CA, Condliffe R, *et al.* A randomised-controlled trial of a physiotherapist-led rehabilitation programme in patients with pulmonary hypertension. *Eur Respir J* 2008; 32: Suppl. 52, 166s.
- Grünig E, Ehlken N, Ghofrani A, *et al.* Effect of exercise and respiratory training on clinical progression and survival in patients with severe chronic pulmonary hypertension. *Respiration* 2011; 81: 394–401.
- Boutet K, Garcia G, Degano B, *et al.* Results of a 12-week outpatient cardiovascular rehabilitation in patients with idiopathic pulmonary arterial hypertension (iPAH). *Eur Respir J* 2008; 32: Suppl. 52, 240s.
- Handoko ML, de Man FS, Happé CM, *et al.* Opposite effects of training in rats with stable and progressive pulmonary hypertension. *Circulation* 2009; 120: 42–49.
- Barst RJ, McGoon M, Torbicki A, *et al.* Diagnosis and differential assessment of pulmonary arterial hypertension. *J Am Coll Cardiol* 2004; 43: 40–47.
- Task Force for Diagnosis and Treatment of Pulmonary Hypertension of European Society of Cardiology (ESC); European Respiratory Society (ERS); International Society of Heart and Lung Transplantation (ISHLT), Galiè N, Hoeper MM, Humbert M, *et al.* Guidelines for the diagnosis and treatment of pulmonary hypertension. *Eur Respir J* 2009; 34: 1219–1263.
- Guyatt GH, Pugsley SO, Sullivan MJ, *et al.* Effect of encouragement on walking test performance. *Thorax* 1984; 39: 818–822.
- Ware JE, Sherbourne CD. The MOS 36-item Short-Form health survey (SF-36). *Med Care* 1992; 30: 73–83.
- Borg GA. Psychophysical bases of perceived exertion. *Med Sci Sports Exerc* 1982; 14: 377–381.
- Wasserman K, Hansen JE, Sue DY, *et al.* Measurements during integrative cardiopulmonary exercise testing. In: Wasserman K, Hansen JE, Sue DY, *et al.*, eds. Principles of Exercise Testing and Interpretation. Philadelphia, Lea and Febiger, 1994; pp. 53–79.
- Galiè N, Torbicki A, Barst R, *et al.* Guidelines on diagnosis and treatment of pulmonary arterial hypertension. *Eur Heart J* 2004; 25: 2243–2278.
- Chung L, Liu J, Parsons L, *et al.* Characterization of connective tissue disease-associated pulmonary arterial hypertension from REVEAL: identifying systemic sclerosis as a unique phenotype. *Chest* 2010; 138: 1383–1394.
- Adatia I, Kothari SS, Feinstein JA. Pulmonary hypertension associated with congenital heart disease: pulmonary vascular disease: the global perspective. *Chest* 2010; 137: 52S–61S.
- Galiè N, Beghetti M, Gatzoulis MA, *et al.* Bosentan Randomized Trial of Endothelin Antagonist Therapy-5 (BREATHE-5) Investigators. Bosentan therapy in patients with Eisenmenger syndrome: a multicenter, double-blind, randomized, placebo-controlled study. *Circulation* 2006; 114: 48–54.
- Haworth SG, Hislop AA. Treatment and survival in children with pulmonary arterial hypertension: the UK Pulmonary Hypertension Service for Children 2001–2006. *Heart* 2009; 95: 312–317.
- Humbert M. Pulmonary arterial hypertension and chronic thromboembolic pulmonary hypertension: pathophysiology. *Eur Respir Rev* 2010; 19: 59–63.
- Martínez-Quintana E, Miranda-Calderín G, Ugarte-Lopetegui A, *et al.* Rehabilitation program in adult congenital heart disease patients with pulmonary hypertension. *Congenit Heart Dis* 2010; 5: 44–50.
- Graham TP Jr, Driscoll DJ, Gersony WM, *et al.* Task Force 2: congenital heart disease. *J Am Coll Cardiol* 2005; 45: 1326–1333.
- Gratz A, Hess J, Hager A. Self-estimated physical functioning poorly predicts actual exercise capacity in adolescents and adults with congenital heart disease. *Eur Heart J* 2009; 30: 497–504.
- Humbert M, Sitbon O, Simmoneau G. Treatment of pulmonary arterial hypertension. *N Engl J Med* 2004; 351: 1425–36.
- O'Connor CM, Whellan DJ, Lee KL, *et al.* Efficacy and safety of exercise training in patients with chronic heart failure: HF-ACTION randomized controlled trial. *JAMA* 2009; 301: 1439–1450.
- Degano B, Sitbon O, Savale L, *et al.* Characterization of pulmonary arterial hypertension patients walking more than 450 m in 6 min at diagnosis. *Chest* 2010; 137: 1297–1303.



- 25** Hambrecht R, Niebauer J, Fiehn E, *et al.* Physical training in patients with stable chronic heart failure: effects on cardiorespiratory fitness and ultrastructural abnormalities of leg muscles. *J Am Coll Cardiol* 1995; 25: 1239–1249.
- 26** Belardinelli R, Georgiou D, Ginzton L, *et al.* Effects of moderate ET on thallium uptake and contractile response to low-dose dobutamine of dysfunctional myocardium in patients with ischemic cardiomyopathy. *Circulation* 1998; 97: 553–561.
- 27** Smart N, Marwick TH. Exercise training for patients with heart failure: a systematic review of factors that improve mortality and morbidity. *Am J Med* 2004; 116: 693–706.
- 28** Davies EJ, Moxham T, Rees K, *et al.* Exercise training for systolic heart failure: Cochrane systematic review and meta-analysis. *Eur J Heart Fail* 2010; 12: 706–715.
- 29** Humbert M, Sitbon O, Chaouat A, *et al.* Pulmonary arterial hypertension in France: results from a national registry. *Am J Respir Crit Care Med* 2006; 173: 1023–1030.