



Similar characteristics of nontuberculous mycobacterial pulmonary disease in men and women

To the Editor:

The characteristics of nontuberculous mycobacterial pulmonary disease (NTM-PD) in individuals without clinically apparent predisposing factors have been described in female-predominant cohorts. Phenotypic characteristics are advanced age, tall stature, slender body habitus, right middle lobe (RML)- and/or lingula-predominant nodular bronchiectasis, and increased frequencies of scoliosis, pectus excavatum (PEX) and mitral valve prolapse [1–3]. Increased rates of cystic fibrosis transmembrane conductance regulator (CFTR), immune, ciliary and connective tissue gene mutations, and abnormal α_1 -antitrypsin (α_1 -AT) phenotypes have also been reported [4, 5]. The phenotypic archetype of NTM-PD in men is upper lobe cavitation in a middle-aged smoker. We hypothesised that the characteristics of male patients without pre-existing structural lung disease and immunocompromise resemble those of female patients.

We conducted a retrospective study of patients evaluated at National Jewish Health (NJH), Denver, CO, USA, from the inception of the Electronic Medical Record in 2008 to September 2017. Inclusion criteria were NTM-PD as defined by American Thoracic Society/Infectious Diseases Society of America (ATS/IDSA) guidelines [6], never-smoker status, available chest computed tomography (CT) and available mycobacterial identification. Exclusion criteria were structural lung disease other than bronchiectasis, immunocompromise and inherited predisposition to bronchiectasis. Data were extracted by manual review of electronic records. Two investigators (M.R. Holt and T.L. Koelsch) independently evaluated chest CT images and resolved discordant findings by consensus review. Lobar disease predominance was determined qualitatively. PEX was defined by a Haller index (HI) >2.5 or a correction index >10.0 [7]. The correction index controls for false-positive results in normal subjects with narrow anteroposterior or wide transverse thoracic dimensions. Both indices were measured on axial CT reconstruction at the point of greatest posterior sternal deformity, usually in the lower third of the sternum. Thoracic scoliosis was defined by a Cobb angle $>10^\circ$ on posteroanterior chest radiograph or coronal CT topogram. Thoracic kyphosis was quantitated by the Cobb angle on lateral chest radiograph or sagittal CT topogram. Nonparametric statistical analysis was performed using R, version 3.5.1 (The R Foundation, Vienna, Austria). Continuous data are presented as mean (95% CI). Statistical comparisons utilised permutation testing for continuous data and Fisher's exact test for proportions.

An International Classification of Diseases (10th edition)-based search of the NJH Research Database found records for 103 men and 865 women. 100 women were randomly selected for further evaluation. Reasons for exclusion were failure to satisfy the ATS/IDSA NTM-PD criteria (33 males and eight females), pre-existing structural lung disease or immunocompromise (12 males and 17 females), (ex-)smoker status (six males and four females) and insufficient data (six females). Ultimately, 52 men and 65 women were included in the study.

Results are summarised in table 1. In keeping with other US studies, patients were of advanced age and predominantly white race. Sexual dimorphism likely accounts for the greater height and weight of male than female patients. The body mass index (BMI) of male patients (23.5 , 95% CI 22.7 – 24.4 $\text{kg}\cdot\text{m}^{-2}$) was lower than that of men aged 60–69 years in the general population (mean \pm SEM 29.4 ± 0.41 $\text{kg}\cdot\text{m}^{-2}$) [8].

@ERSpublications

In a retrospective study of patients with nontuberculous mycobacterial pulmonary disease in the absence of clinically apparent risk factors, men and women shared phenotypic and other characteristics but lesser disease severity was observed in men <http://bit.ly/2YAV7QB>

Cite this article as: Holt MR, Kasperbauer SH, Koelsch TL, *et al.* Similar characteristics of nontuberculous mycobacterial pulmonary disease in men and women. *Eur Respir J* 2019; 54: 1900252 [<https://doi.org/10.1183/13993003.00252-2019>].

TABLE 1 Baseline characteristics, comorbidities and investigation results

	Men	Women	p-value
Patients	52	65	
Baseline characteristics			
Non-Hispanic white ethnicity	47 (90%)	53 (82%)	0.2
Age years	67 (63–69)	65 (63–68)	0.61
Height m	1.77 (1.75–1.79)	1.62 (1.61–1.64)	<0.001
Weight kg	74.05 (71.33–77.29)	56.37 (54.39–58.51)	<0.001
BMI kg·m ⁻²	23.54 (22.70–24.37)	21.42 (20.74–22.13)	<0.001
FEV ₁ % predicted	79.9 (73.9–85.5)	73.6 (69.2–77.8)	0.09
Prior/ongoing medical treatment	29 (56%)	49 (75%)	0.03
Prior surgical resection	1 (2%)	4 (6%)	0.38
Selected comorbidities[#]			
Rhinitis or sinusitis	25 (48%)	29 (45%)	0.71
Gastro-oesophageal reflux	26 (50%)	30 (46%)	0.71
Chronic inhaled steroid use	11 (21%)	11 (17%)	0.64
Osteopenia or osteoporosis	1 (2%)	34 (52%)	<0.001
Gastrointestinal investigations[¶]			
Oesophageal dysmotility	28/41 (68%)	38/63 (60%)	0.53
Gastro-oesophageal reflux	22/40 (55%)	28/62 (45%)	0.42
Oropharyngeal dysphagia	27/39 (69%)	32/62 (52%)	0.1
Selected laboratory results			
CFTR gene mutation carriage [§]	4/36 (11%)	3/36 (8%)	1
Abnormal α_1 -AT phenotype	5/46 (11%)	10/62 (16%)	0.58
Serum vitamin D <30 ng·mL ⁻¹	17/47 (36%)	16/59 (27%)	0.4
Chest imaging			
Nodular bronchiectasis	50 (96%)	65 (100%)	0.2
Cavitation	10 (19%)	25 (38%)	0.03
Lobar predominance			
Right upper lobe	24 (46%)	19 (29%)	0.08
Right middle lobe	25 (48%)	47 (72%)	0.01
Right lower lobe	16 (31%)	22 (34%)	0.84
Left upper lobe	11 (21%)	5 (8%)	0.06
Lingula	21 (40%)	36 (55%)	0.14
Left lower lobe	8 (15%)	11 (17%)	1
Pectus excavatum			
Haller index	2.17 (2.09–2.27)	2.27 (2.18–2.38)	0.15
Haller index >2.5	10 (19%)	18 (28%)	0.38
Correction index	6.58 (5.42–8.11)	6.95 (5.99–8.15)	0.68
Correction index >10	10 (19%)	12 (18%)	1
Scoliosis and kyphosis			
PA Cobb angle	6.01° (4.86–7.53°)	8.20° (6.90–9.80°)	0.04
PA Cobb angle >10°	10 (19%)	23 (35%)	0.06
Lateral Cobb angle	47.34° (44.64–50.31°)	45.14° (42.44–48.36°)	0.31

Data are presented as mean (95% CI) unless otherwise stated. BMI: body mass index; FEV₁: forced expiratory volume in 1 s; CFTR: cystic fibrosis transmembrane conductance regulator; α_1 -AT: α_1 -antitrypsin; PA: posteroanterior. [#]: comorbidities were extracted from clinical notes or routine patient questionnaires. [¶]: oesophageal dysmotility and gastro-oesophageal reflux were evaluated with an oesophagram protocol that included 2 min of supine positioning; oropharyngeal dysphagia was evaluated with tailored barium swallow. [§]: patients with clinically significant CFTR mutations are reported and all were heterozygotes; denominators comprise all patients screened by DNA probe mutation analysis or whole-gene sequencing. Mutations were as follows: p.F508del (two men and two women), p.W1282X (one man), c.2657+5G>A splice site mutation (one man) and p.G85E (one woman).

Nodular bronchiectasis was present in almost all patients. RML-predominant disease was statistically significantly more common in women and there were trends toward upper lobe predominance being more common in men. Only one male patient had isolated upper lobe cavitation, suggesting that smoking and structural lung disease are more important risk factors for this pattern of disease than male sex.

Frequencies of PEX in men and women were not statistically significantly different and demonstrated greater congruence when determined with the correction index (19% versus 18%) than the HI (19% versus 28%), suggesting relatively narrower anteroposterior chest dimensions in female patients. Kim *et al.* [2]

reported PEX in 11% of a predominantly female cohort with NTM-PD. KARTALIJA *et al.* [3] reported a much higher rate of 87%, perhaps due to differing measurement technique. Scoliosis was present in 35% of women in the present study, a rate comparable to that reported by KARTALIJA *et al.* [3] (31%) and KIM *et al.* [2] (51%). In keeping with population sex differences, male patients exhibited statistically significantly lower posteroanterior Cobb angles and a trend toward lower scoliosis frequency (19%). Nonetheless, the rate of scoliosis in men was higher than the general population of white males aged 65–74 years (~7%) [9].

Comorbidities were similar between male and female patients. The higher frequency of osteopenia or osteoporosis in women ($p < 0.001$) is expected. Oesophageal dysmotility, oropharyngeal dysphagia and gastro-oesophageal reflux disease (GORD) were prevalent. Aspiration is a plausible route of infection and GORD has been associated with NTM-PD by other studies [10]. A history of rhinitis or sinusitis was reported by almost half the patients, and sinus CT was abnormal in nine (90%) out of 10 men and seven (50%) out of 14 women. These findings support possible impairment of respiratory mucociliary clearance in individuals with NTM-PD, who exhibit decreased nasal nitric oxide production and ciliary beat frequency [11].

Results of screening for hypogammaglobulinaemia, connective tissue diseases, cystic fibrosis, α_1 -AT deficiency and vitamin D deficiency were similar between men and women. No patient was diagnosed with clinically significant hypogammaglobulinaemia. *CFTR* gene mutation screening by DNA probe analysis or whole-gene sequencing detected a clinically significant mutation in four men and three women. These patients were of white, non-Hispanic, non-Ashkenazi-Jewish ethnicity, and represented 12% of screened men ($n=33$) and women ($n=26$) of this racial/ethnic background. In contrast, the *CFTR* mutation carriage rate in the general Caucasian population is ~4% [12]. Abnormal α_1 -AT phenotypes were detected in 11% of men (three MS and two MZ) and 16% of women (five MS, four MZ and one SS), frequencies exceeding the North American population rate of ~7% [13]. Increased frequencies of *CFTR* mutation carriage and abnormal α_1 -AT phenotypes in patients with NTM-PD have been reported by other studies and implicated in disease susceptibility [2, 5].

A novel finding was more severe disease in women, evidenced by more frequent pulmonary cavitation, lower BMI, more extensive treatment history and a trend toward more marked ventilatory impairment. This finding was not due to differing causative species. *Mycobacterium avium* complex (MAC) and *Mycobacterium abscessus* accounted for 69% and 25% of isolates from men, and 77% and 21% of isolates from women, respectively. Although women with MAC tended to have macrolide-resistant isolates more frequently than men (15% versus 3%, $p=0.07$), this likely reflects more common prior ineffective therapy in the female cohort due to referral bias. The observed difference in disease severity may be due to selection bias but is consistent with the possibility that the female sex-bias of NTM-PD reflects the influence of female-specific disease susceptibility factors. Data supporting this possibility are limited and equivocal. In a French retrospective study of patients with at least one respiratory nontuberculous mycobacterial isolate, male sex was an independent predictor of a composite endpoint of symptom resolution, radiological improvement and culture negativity after 12 months (OR 2.34, 95% CI 1.26–8.16) [14]. However, this association was absent in the subset of patients meeting ATS/IDSA diagnostic criteria and mortality was higher in men than women. A population-based cohort study in Oregon, USA, found that women were more likely than men to have persistent radiological and microbiological findings of NTM-PD on unadjusted but not multivariate analysis [15].

Limitations of the present study include its retrospective design and inclusion of cohorts from a single, referral institution for NTM-PD. Within these limitations, the data support similar characteristics in men and women with NTM-PD in the absence of predisposing structural lung disease and immunocompromise. The lesser disease severity observed in male patients requires prospective evaluation.

Michael R. Holt ^{1,2}, **Shannon H. Kasperbauer**^{1,2}, **Tilman L. Koelsch**³ and **Charles L. Daley**^{1,2}

¹Division of Mycobacterial and Respiratory Infections, Dept of Medicine, National Jewish Health, Denver, CO, USA.

²Dept of Medicine, University of Colorado Denver, Aurora, CO, USA. ³Dept of Radiology, National Jewish Health, Denver, CO, USA.

Correspondence: Michael R. Holt, Dept of Medicine, National Jewish Health, 1400 Jackson St, Denver, CO 80206, USA. E-mail: michaelrholt@outlook.com

Received: Feb 05 2019 | Accepted after revision: March 24 2019

Acknowledgements: Thank you to Douglas C. Everett (Division of Biostatistics and Bioinformatics, NJH) for his advice regarding the statistical analysis. Data used for this study were downloaded from the NJH Research Database, supported by NJH.

Conflict of interest: M.R. Holt reports being an investigator in Insmad studies outside the submitted work. S.H. Kasperbauer reports personal fees for speaking and consultancy from Insmad outside the submitted work. T.L. Koelsch has nothing to disclose. C.L. Daley reports grants and personal fees from Insmad outside the submitted work.

References

- 1 Reich JM, Johnson RE. *Mycobacterium avium* complex pulmonary disease presenting as an isolated lingular or middle lobe pattern. The Lady Windermere syndrome. *Chest* 1992; 101: 1605–1609.
- 2 Kim RD, Greenberg DE, Ehrmantraut ME, et al. Pulmonary nontuberculous mycobacterial disease: prospective study of a distinct preexisting syndrome. *Am J Respir Crit Care Med* 2008; 178: 1066–1074.
- 3 Kartalija M, Ovrutsky AR, Bryan CL, et al. Patients with nontuberculous mycobacterial lung disease exhibit unique body and immune phenotypes. *Am J Respir Crit Care Med* 2013; 187: 197–205.
- 4 Szymanski EP, Leung JM, Fowler CJ, et al. Pulmonary nontuberculous mycobacterial infection. a multisystem, multigenic disease. *Am J Respir Crit Care Med* 2015; 192: 618–628.
- 5 Chan ED, Kaminska AM, Gill W, et al. Alpha-1-antitrypsin (AAT) anomalies are associated with lung disease due to rapidly growing mycobacteria and AAT inhibits *Mycobacterium abscessus* infection of macrophages. *Scand J Infect Dis* 2007; 39: 690–696.
- 6 Griffith DE, Aksamit T, Brown-Elliott BA, et al. An official ATS/IDSA statement: diagnosis, treatment, and prevention of nontuberculous mycobacterial diseases. *Am J Respir Crit Care Med* 2007; 175: 367–416.
- 7 St Peter SD, Juang D, Garey CL, et al. A novel measure for pectus excavatum: the correction index. *J Pediatr Surg* 2011; 46: 2270–2273.
- 8 Fryar CD, Gu Q, Ogden CL, et al. Anthropometric reference data for children and adults: United States, 2011–2014. *Vital Health Stat* 2016; 3: 1–46.
- 9 Carter OD, Haynes SG. Prevalence rates for scoliosis in US adults: results from the first National Health and Nutrition Examination Survey. *Int J Epidemiol* 1987; 16: 537–544.
- 10 Thomson RM, Armstrong JG, Looke DF. Gastroesophageal reflux disease, acid suppression, and *Mycobacterium avium* complex pulmonary disease. *Chest* 2007; 131: 1166–1172.
- 11 Fowler CJ, Olivier KN, Leung JM, et al. Abnormal nasal nitric oxide production, ciliary beat frequency, and Toll-like receptor response in pulmonary nontuberculous mycobacterial disease epithelium. *Am J Respir Crit Care Med* 2013; 187: 1374–1381.
- 12 Rohlf EM, Zhou Z, Heim RA, et al. Cystic fibrosis carrier testing in an ethnically diverse US population. *Clin Chem* 2011; 57: 841–848.
- 13 de Serres FJ, Blanco I. Prevalence of α 1-antitrypsin deficiency alleles PI*S and PI*Z worldwide and effective screening for each of the five phenotypic classes PI*MS, PI*MZ, PI*SS, PI*SZ, and PI*ZZ: a comprehensive review. *Thor Adv Respir Dis* 2012; 6: 277–295.
- 14 Cadellis G, Ducrot R, Bourdin A, et al. Predictive factors for a one-year improvement in nontuberculous mycobacterial pulmonary disease: an 11-year retrospective and multicenter study. *PLoS Negl Trop Dis* 2017; 11: e0005841.
- 15 Henkle E, Novosad SA, Shafer S, et al. Long-term outcomes in a population-based cohort with respiratory nontuberculous mycobacteria isolation. *Ann Am Thorac Soc* 2017; 14: 1120–1128.

Copyright ©ERS 2019