

Aspergillus fumigatus during stable state and exacerbations of COPD

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ABSTRACT Bacteria are often isolated in stable chronic obstructive pulmonary disease (COPD). Whether fungi are also commonly present and associated with clinical and pathological features of disease is uncertain. We investigated the frequency of filamentous fungal culture and IgE sensitisation to *Aspergillus fumigatus* and the relationship to clinical outcomes in COPD subjects.

COPD subjects were recruited to enter a 1-year observational study. Assessments of lung function, allergen testing and sputum analysis for inflammation, bacteria and fungus were undertaken in COPD subjects and healthy smoking and nonsmoking controls.

Filamentous fungi were cultured at baseline in 49% (63 out of 128) of COPD subjects, of which 75% (47 out of 63) were A. fumigatus. Fungus was cultured in three out of 22 controls (two were A. fumigatus). The total sputum cell count and inhaled corticosteroid dosage were significantly increased in COPD patients with a positive filamentous fungal culture at baseline (p<0.05). Sensitisation to A. fumigatus was present in 13% of COPD subjects and was associated with worse lung function (forced expiratory volume in 1 s 39% predicted versus 51% predicted; p=0.01), but not related to filamentous fungal culture.

A. fumigatus sensitisation is related to poor lung function. Positive filamentous fungal culture is a common feature of COPD. The clinical significance of this remains uncertain.



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A. fumigatus sensitisation links to poor COPD lung function; clinical significance of positive fungal culture is unclear http://ow.ly/qfr5q

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Introduction

Chronic obstructive pulmonary disease (COPD) is associated with significant morbidity and mortality [1]. It is characterised by irreversible airflow obstruction [2] with underlying emphysema and small airway obliteration, which co-exist commonly [3, 4]. Airways of patients with COPD are often "colonised" with potential pathogenic microorganisms [5] which give rise to increased airway inflammation [6]. Bacteria and viruses have been implicated as the major cause of COPD exacerbations, whereas the potential role of fungal colonisation and infection in the pathogenesis of COPD is poorly understood. The commonest fungal genus to cause pulmonary-associated fungal infections is *Aspergillus* [7], with a wide spectrum of syndromes, including saprophytic invasion, allergic disease and invasive aspergillosis [8], often due to *Aspergillus fumigatus* [7]. Additionally, allergic bronchopulmonary aspergillosis (ABPA) [9–12], found commonly in asthma and cystic fibrosis [13], is increasingly recognised in COPD [14]. Furthermore, sensitisation to *A. fumigatus* has been found to be associated with poor lung function in severe asthmatics [15]. Impairment in host defence systems in immunocompetent and immunocompromised patients, including those with COPD, is thus likely to promote susceptibility to fungal infections. How this impacts on important clinical outcomes in COPD such as disease severity, inflammation and exacerbations is currently unknown.

We hypothesised that the presence of filamentous fungi in the airways of patients with COPD and sensitisation to *A. fumigatus* is associated with disease severity, airway inflammation and exacerbations. To study this, we carried out a longitudinal study to investigate fungal culture in patients with COPD during stable state and exacerbations.

Methods

Subjects and measurements

Subjects with COPD diagnosed according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) guidelines [2] were recruited as part of a larger biomarker-directed randomised controlled study [16]. Subjects with a diagnosis of asthma, active pulmonary tuberculosis or any other clinically relevant lung disease were excluded. All COPD subjects underwent lung function testing including reversibility testing [17]. Sputum was collected for analysis of routine microbiology for potential pathogenic microorganisms (defined as *Haemophilus influenzae*, *Moraxella catarrhalis*, *Streptococcus pneumoniae*, *Staphylococcus aureus* or *Pseudomonas aeruginosa*) [18] and mycology [15, 19]. In brief, ~150 mg of undiluted sputum was inoculated onto potato dextrose agar plates containing chloramphenicol (16 μg·mL⁻¹), gentamicin (4 μg·mL⁻¹) and fluconazole (5 μg·mL⁻¹). Plates were then incubated at 37°C and inspected daily for up to 7 days. *A. fumigatus* colonies were identified by colony formation and microscopy. PCR sequencing of the large subunit or the internal transcribed spacer region of the nuclear ribosomal operon was used for the detection of other filamentous fungi, as previously described [19].

Sputum was also processed to produce cytospins for assessment of sputum total and differential cell counts [20, 21]. Venous blood was collected for assessment of peripheral blood differential cell counts and serum C reactive protein. Health status and symptom scores were measured using the St George's Respiratory Questionnaire (University of London, London, UK) [22], the Chronic Respiratory Disease Interviewer-Administered Questionnaire (CRQ) (McMaster University, Hamilton, Canada) [23] and the visual analogue scale (VAS) for the domains of cough, breathlessness, sputum production and sputum purulence [24]. Subjects were seen during stable-state and exacerbation visits; sample collection was performed prior to

TABLE 1 Clinical characteristics of chronic obstructive pulmonary disease (COPD) subjects and controls

	COPD subjects	Controls
Subjects n	128	22
Male	89 (70)	8 (36)
Age years	69 (47–86)	58 (41-79)
Smoking pack-years	54 (10-207)	10 (0-30)
Atopy %	34	35
FEV1 % predicted	48 ± 3	116 ± 3
Filamentous fungal culture positive	63 (49)	3 (14)
Aspergillus fumigatus culture	47 (37)	2 (9)

Data presented as n (%), mean (range) or mean \pm SEM, unless otherwise stated. FEV1: forced expiratory volume in 1 s.

TABLE 2 Clinical characteristics of chronic obstructive pulmonary disease subjects according to filamentous fungal status

	No fungus culture	A. fumigatus culture	Other filamentous fungus culture	p-value
Subjects n	65	47	16	
Male	41 (63)	35 (75)	13 (81)	0.24
Age years	68 (47–87)	72 (53–86)	68 (51–82)	0.10
Current smokers	31 (48)	11 (23)	6 (37)	0.03
Ex-smokers	33 (51)	34 (72)	10 (63)	0.07
Pack-years smoked	56 (10–207)	49 (10-130)	57 (12–138)	0.56
Exacerbations in previous year	3 (1–12)	3 (1–8)	3 (1–10)	0.30
GOLD I	3 (5)	2 (4)	1 (6)	0.95
GOLD II	27 (42)	16 (34)	3 (18)	0.22
GOLD III	19 (28)	16 (34)	6 (38)	0.76
GOLD IV	16 (25)	13 (28)	6 (38)	0.58
Inhaled corticosteroid dose# µg	1389 ± 86	1628 ± 84	1754 ± 130	0.05
Atopy % (95% CI)	34 (22-50)	55 (38–70)	14 (1–53)	0.07
FEV1/FVC¶ %	49 <u>±</u> 1	49 ± 2	46 <u>+</u> 4	0.55
FEV1 [¶] % predicted	51 <u>±</u> 2	48 ± 2	44 <u>+</u> 5	0.38
Peripheral leukocytes ⁺ × 10 ⁹ cells·L ⁻¹	8.7 (8.1-9.3)	7.8 (7.3–8.4)	7.9 (6.8–9.2)	0.12
Peripheral blood eosinophils* %	2.4 (2.1-2.9)	2.5 (2.1-3.0)	2.5 (1.7–3.8)	0.56
Sputum total cell count ⁺ × 10 ⁶ cells·g ⁻¹	2.1 (1.5-3.1)	4.1 (2.9-5.9)	4.1 (2.8-5.9)	0.03
Sputum neutrophils %	66±3	78 ± 3	78 ± 6	0.03
Sputum eosinophils ⁺ %	1.1 (0.6–1.2)	0.8 (0.6-1.2)	1.7 (0.7-4.2)	0.19
Total IgE [§] kU·L ⁻¹	39.4 ± 156.7	57.7 ± 165.5	46.9 <u>+</u> 151.4	0.64
CRP [§] mg·L ⁻¹	3 ± 7	3 ± 8	5 <u>+</u> 9	0.65
A. fumigatus-specific IgE >0.35 ^f %	13	15	0	0.26
A. fumigatus IgG >40 ^f %	18	28	15	0.26
SGRQ total units	56 <u>+</u> 2	53 ± 2	47 <u>+</u> 4	0.14
CRQ total units	3.8 ± 0.1	4.2 ± 0.2	4.4 ± 0.2	0.08
VAS total mm	167 ± 10	162 ± 13	157 <u>±</u> 11	0.90

Data are presented as n (%), mean (range) or mean ± SEM, unless otherwise stated. Bold type represents statistical significance at p<0.05. SGRQ scores ranging from 0 to 100 with a higher score indicating worse health status (total score made up of impact, symptoms and activity domains); CRQ scores range from 1 to 7, with a higher score representing better health quality (total score made up of emotion, dyspnoea, fatigue and mastery domains); VAS performed on a 100-mm line from "no symptoms" to "worst symptoms", higher scores represent worse symptoms (total score calculated as addition of cough, dyspnoea, sputum volume production and purulence domains). *A. fumigatus: Aspergillus fumigatus*; GOLD: Global Initiative for Chronic Obstructive Lung Disease stage; FEV1: forced expiratory volume in 1 s; FVC: forced vital capacity; CRP: C reactive protein; SGRQ: St George's Respiratory Questionnaire; CRQ: Chronic Respiratory Health Questionnaire; VAS: visual analogue scale. #: beclomethasone dipropionate equivalent; **!: post-bronchodilator; **: geometric mean (95% confidence interval); **: median (interquartile range); **: proportion IgE >0.35 or IgG >40.

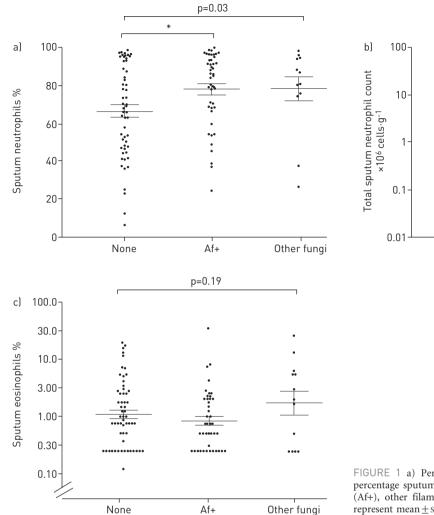
randomisation and institution of any therapy. Nonsmoking and nonobstructed smoking controls were invited to attend a single study visit, with lung function testing, sputum induction and demographic data collection. Skin-prick testing was used to assess atopy to *Dermatophagoides pteronyssinus*, dog, cat, grass pollen and to the fungi *Alternaria alternata*, *A. fumigatus*, *Botrytis cinerea*, *Cladosporium herbarum* and *Penicillium chrysogenum* (Alk-Abello, Hørsholm, Denmark). Total IgE levels, allergen-specific IgE antibody levels to cat, dog, timothy grass, *D. pteronyssinus* and *A. fumigatus*, and *A. fumigatus*-IgG levels were measured using the ImmunoCap 250 system (Phadia Ltd, Milton Keynes, UK).

The study was approved by the Leicestershire, Northamptonshire and Rutland ethics committee and all subjects gave informed written consent.

Statistical analysis

Statistical analysis was performed using PRISM version 4 (GraphPad, San Diego, CA, USA) and SPSS version 16 (SPSS Inc., Chicago, IL, USA). Parametric and nonparametric data are presented as mean ± SEM and median (interquartile range) unless otherwise stated. Log-transformed data are presented as geometric mean (95% CI). For comparison of unpaired or paired parametric or nonparametric groups, t-tests, Mann–Whitney U-test and Wilcoxon matched-pairs tests were used. For comparison of three groups or more for parametric and nonparametric variables the ANOVA or Kruskal–Wallis test was used, and the Chi-squared test was used for proportions. Repeatability of *A. fumigatus* culture was assessed in patients with two stable

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p=0.03

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total sputtum neutrophil count

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FIGURE 1 a) Percentage and b) total sputum neutrophil counts, and c) percentage sputum eosinophils in patients with *Aspergillus fumigatus* culture (Af+), other filamentous fungi and no fungi cultured at study entry. Bars represent mean \pm SEM. *: p<0.05.

visits 3 months apart, using the Cohen κ statistic. Logistic regression analysis was used to assess the relationship of the variables of forced expiratory volume in 1 s (FEV1) % predicted, sputum eosinophil count (log-transformed), sputum neutrophil count (log-transformed) and exacerbation frequency with the presence of 1) *A. fumigatus*-only culture and 2) sensitisation to *A. fumigatus* using the block entry method. Standard multiple regression analysis was used to assess the relationship of airway inflammation, exacerbation frequency, fungal culture and fungal sensitisation with lung function. Logistic regression goodness-to-fit was performed using the Hosmer–Lemeshow Chi-squared and R-squared (true and pseudo- R^2 for multiple and logistic regression, respectively) tests were used to estimate the variance explained by the model. A p-value of <0.05 was taken as the threshold of significance.

TABLE 3 Predictors of Aspergillus fumigatus sputum culture in chronic obstructive pulmonary disesase subjects at stable state

	OR (95% CI)	p-value
Exacerbation frequency	0.84 (0.69–1.03)	0.09
FEV1 % predicted	0.97 (0.96-1.01)	0.32
Sputum eosinophils %	0.53 (0.24-1.20)	0.13
Total sputum neutrophil count	1.97 (1.05–3.69)	0.03

Bold type represents statistical significance at p<0.05. FEV1: forced expiratory volume in 1 s.

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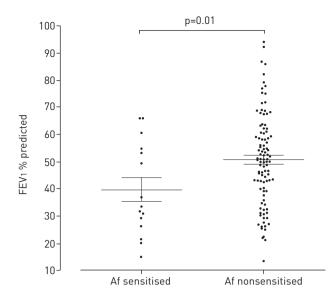


FIGURE 2 Forced expiratory volume in 1 s (FEV1) % predicted categorised according to *Aspergillus fumigatus* (Af) sensitisation. Bars represent mean ± SEM.

Results

Filamentous fungal culture and baseline demographic data were obtained in 128 patients with COPD (89 males and 39 females). The mean FEV1 in the COPD subjects was $48\pm3\%$ pred. Control data were available in 22 (eight males and 14 females) subjects with a mean (range) age of 58 (41–79) years; mean (range) smoking history of 10 (0–30) pack-years and a mean FEV1 of $116\pm3\%$ pred. The clinical characteristics of the COPD patients and controls are presented in table 1. A filamentous fungus was isolated in 49% (63 out of 128) of COPD patients and was predominantly *Aspergillus* or *Penicillium* species (online supplementary table E1). *A. fumigatus* was cultured in 37% (47 out of 128) of patients, while identification of any *Aspergillus* species was present in 42% (55 out of 128) of patients. Pathogenic bacteria (*H. influenzae*, *M. catarrhalis*, *S. pneumoniae*, *S. aureus* or *P. aeruginosa*) and *A. fumigatus* co-culture was found in 14% (18 out of 128) of patients at baseline stable state. The proportion of control subjects that had positive filamentous fungal cultures was significantly lower compared to COPD subjects (14% *versus* 49%; mean difference 36%, 95% CI 14–48%; p=0.002).

A. fumigatus culture and clinical outcomes

Patients with *A. fumigatus* were on a higher inhaled corticosteroid dose compared to those who were culture-negative (1628 *versus* 1389 µg beclomethasone dipropionate equivalent; mean difference 239, 95% CI 0–477; p=0.050). There were no differences in health status, exacerbation frequency or FEV1 % pred in COPD subjects who were *A. fumigatus* culture-positive compared to those who were *A. fumigatus* culture-negative (table 2). There was a significant difference in total and percentage sputum neutrophil count, but not in sputum eosinophils in patients with *A. fumigatus* compared to patients with no fungi or other filamentous fungi (fig. 1). The total sputum neutrophil count was the most independent predictor of *A. fumigatus* culture, with a sensitivity and specificity of 54% and 76%, respectively (table 3).

Atopy and A. fumigatus

Atopy, defined as a positive skin prick testing and/or elevated allergen-specific antibodies, was found in 34% of COPD patients. Concordance of serum-specific IgE antibodies and skin prick testing to the common allergens, showed a good strength of agreement (κ =0.63, 95% CI 0.49–0.76). Sensitisation to *A. fumigatus* (positive skin prick test and/or elevated *A. fumigatus* IgE antibodies) was present in 13% of patients. Sensitisation to *A. fumigatus*, irrespective of corresponding filamentous fungal culture, was associated with lower lung function (FEV1 39% pred *versus* 51% pred; mean difference 11%, 95% CI 3–20%; p=0.01) (fig. 2). There were no differences in parameters of airway inflammation, health status or exacerbation frequency in those that were or were not sensitised to *A. fumigatus*. FEV1 % pred was the best predictor of *A. fumigatus* sensitisation (table 4).

A. fumigatus colonisation

The repeatability of *A. fumigatus* culture was examined in 70 subjects at two stable visits 3 months apart. The κ agreement statistic (95% CI) was -0.04 (-0.29–0.21). This was determined to be below that observed by chance alone. Of these patients, 13% and 39% were either *A. fumigatus*-positive or culture-negative,

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TABLE 4 Predictors of Aspergillus fumigatus sensitisation in chronic obstructive pulmonary disease subjects at stable state

	OR (95% CI)	p-value
Exacerbation frequency	1.17 (0.89–1.55)	0.27
FEV1 % predicted	0.95 (0.91-0.99)	0.02
Sputum eosinophils %	1.04 (0.34-3.23)	0.94
Total sputum neutrophil count	2.12 (0.78-5.81)	0.14
Aspergillus fumigatus culture	1.23 (0.34-4.49)	0.76

Bold type represents statistical significance at p<0.05. FEV1: forced expiratory volume in 1 s.

respectively, at both. Neutrophil or eosinophil systemic and airway inflammatory measures were not different between patients who cultured *A. fumigatus*, other filamentous fungi and no fungi, neither in the repeated visit, nor in patients who either persistently grew filamentous fungi or never grew fungi on two visits.

A. fumigatus during exacerbations

110 exacerbations with filamentous fungal culture from 80 patients were captured. A positive filamentous fungal culture was found in 38% of all exacerbation events; while 28% of all exacerbation events were culture-positive to *A. fumigatus*. There was no difference in airway inflammation, change in CRQ, FEV1 or VAS scores between those who cultured *A. fumigatus* at exacerbation compared to those who did not. Corresponding filamentous fungal and bacterial culture data during exacerbations were available in 79 exacerbation events. Co-culture of *A. fumigatus* and pathogenic bacteria was observed in 14% (11 out of 79) of exacerbation events. There were 44 and 35 bacteria- and nonbacteria-associated exacerbations of COPD. The acquisition of *A. fumigatus* was not different between bacteria-associated and nonbacterial-associated exacerbations (20% *versus* 55%, p=0.07). There was no change from baseline in lung function, health status and symptom scores in bacteria- or nonbacteria-associated exacerbations and the culture of *A. fumigatus* at the baseline and exacerbation visit (online supplementary tables E2 and E3).

Discussion

Here we report that in subjects with COPD, sensitisation to *A. fumigatus* was associated with poor lung function. Positive culture of filamentous fungi, especially that of *A. fumigatus*, was commonly found in the sputum of patients with COPD and was increased compared to controls. However, repeatability of *A. fumigatus* culture in stable state was poor and the prevalence did not change at exacerbations. The clinical significance of a positive filamentous fungal culture in COPD therefore remains uncertain.

In our study, hypersensitivity to *A. fumigatus* was detected in 13% of the COPD subjects and was associated with reduced lung function independent of *A. fumigatus* sputum culture. This is consistent with previously observed findings in patients with severe asthma using the same fungal culture technique [15]. Whether sensitisation to *A. fumigatus* contributes to the cause of airflow obstruction or is a consequence of a damaged and remodelled airway and thus more likely in subjects with severe COPD is uncertain. In severe asthma the relationship between sensitisation and filamentous fungal culture suggests that persistent colonisation may promote sensitisation. Although we were unable to replicate the finding of colonisation in our study, it remains plausible that sensitisation reflects increased filamentous fungi exposure over time.

Sensitisation to *A. fumigatus* is a feature of ABPA. Although we did not undertake computed tomography in this study, none of our subjects fulfilled the criteria of GREENBERGER and co-workers [11, 12] for ABPA. The incomplete fulfilment of these ABPA criteria has also been shown in previous COPD studies [14]. In asthma associated with ABPA and in severe asthma with fungal sensitisation, antifungal therapy has demonstrated clinical benefit, although the magnitude of this effect is small [25–27]. Whether antifungal therapy may be effective in reducing exacerbations and disease progression in COPD patients with filamentous fungal sensitisation remains to be tested.

Colonisation of the airways, defined as "the presence of potentially pathogenic organisms without an associated inflammatory response" is common in patients with COPD, occurring in up to 30% [5]. Using a specialist fungal culture technique, we have shown that the presence of filamentous mould (mainly *A. fumigatus*) was found in almost half of subjects with COPD, and that neutrophilic airway inflammation was the best predictor of *A. fumigatus* sputum culture. The presence of *A. fumigatus* or any filamentous fungal culture was also related to higher doses of inhaled corticosteroids. Immunosuppression from

corticosteroid treatment has been associated with invasive aspergillosis and studies have determined that corticosteroid therapy is an independent predictor of invasive aspergillosis in COPD patients in intensive care [28, 29]. Current COPD guidelines advocate the use of inhaled corticosteroids in patients with FEV1 <50% and recurrent exacerbations to reduce the risk of future exacerbations [2]. At present it remains unclear whether there is an inhaled corticosteroid dose–response predisposition to *A. fumigatus* colonisation in a susceptible cohort or an associated increase in risk of invasive aspergillosis; this requires further study.

Colonisation of Aspergillus in immunocompetent individuals does not fulfil the criteria for definite or probable invasive aspergillosis [30, 31]. However, this definition has focused on filamentous fungal detection from respiratory samples collected on a single episode. There is a paucity of data in the literature examining repeated A. fumigatus culture in COPD subjects at stable state, and even less investigating A. fumigatus during exacerbations. In this study we could not determine repeatability of A. fumigatus culture in subsequent stable visits. However, our study highlights the importance of examining relationships of pathogen and airway inflammation expression in longitudinal studies, and we have aimed to explore the classification of filamentous fungal colonisation in the COPD airway and related this to important clinical outcomes. Although we have been unable to show that there is persistence of A. fumigatus culture in a cohort of patients with repeated visits, emerging knowledge regarding the complex microbiota of the airways in COPD [32] would suggest that the role of filamentous fungi, and in particular A. fumigatus, needs to be further explored in the pathogenesis of COPD. Our study was strengthened by examining filamentous fungal culture in the airways of non-COPD controls, and showed that despite similar atopy rates there was significantly reduced detection of fungal and A. fumigatus culture in control subjects. Although the control group was not matched for age or smoking, the detection of filamentous fungus in the sputum was significantly lower and independent of atopy status. A final concern is whether we have inadvertently included patients with asthma and classed these patients as COPD. However, to reduce this bias a strict exclusion criteria for screening was a current or previous history of asthma, whether selfreported or physician-diagnosed, and used current spirometric diagnostic criteria for COPD as per GOLD guidelines [2]. Therefore, whether our finding of A. fumigatus sensitisation and worsened lung function is related to disease or prolonged exposure needs to be further evaluated in larger studies.

To our knowledge, this is the first study to examine filamentous fungal and *A. fumigatus* culture rates during exacerbations of COPD. In contrast to our hypothesis, we detected that filamentous fungal culture rates and in particular *A. fumigatus* was not increased during exacerbations of COPD. Studies have shown that bacterial and fungal interactions include promotion of survival and virulence of fungus, or inhibition of filament formation [33]. Furthermore, the development of bacterial and fungal biofilms may provide a host defence environment which has resistance to antibiotic properties [33]. In this study we found that *A. fumigatus* culture was not affected by the presence or absence of concomitant bacterial culture; whether interactions between filamentous fungal and bacterial infection during exacerbations are important remains to be fully determined.

In conclusion, we have shown that *A. fumigatus* in commonly found in the sputum of patients with COPD and that this is irrespective of disease severity. We have also shown that IgE sensitisation to *A. fumigatus* in COPD subjects is associated with lower lung function and that the detection of *A. fumigatus* by sputum culture is increased compared to controls but unrelated to exacerbations. However, the clinical significance of fungi in COPD and the response to antifungal therapy remains to be determined and further studies are required.

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